

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2015 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

2015 MAY - 8 PM (Office Use Only)

*ME*

Name: W. Kurt Schrader Daytime Telephone: 202-225-5711

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Oregon</u> District: <u>5</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2015 Annual (Due: May 15, 2016)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

## Page 2

**Use additional sheets if more space is required.**

## Data of

See Prudential Attachments  
to the annual div income

## Page of

**Use additional sheets if more space is required.**



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

Page of

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E	
	Fed Trust - US						X																												
	Old Municipal Bond Fd																																		
	Old Long Term Strategies Fd																																		
	Old Smith Barney Fd																																		
	Old Strategist's Op Fd																																		
	Conoco Ph. Mfgs																																		
	Cityplace, Inc.																																		
	Thermon Health Sciences																																		
	Key Corp New																																		
	Johnson & Johnson Inc																																		
	Pfizer																																		
	Apple Inc																																		
	American Water Works Corp																																		
	Nike Inc																																		
	Raytheon Corp																																		
	PepsiCo																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Ruff Schneider

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Discrete Financial Services																																			
	Alphabet Inc. Class			X																																
	Encore Corp			X																																
	Eni SPA ad			X																																
	Rio Tinto			X																																
	Amazon.com			X																																
	Comcast Corp.			X																																
	PriceLine Corp Inc.			X																																
	Clubb Limited			X																																
	Bush/Hyatt Spauld			X																																
	58 West Tower apt			X																																
	Granite Ltd			X																																
	Microsoft Corp					X																														
	Visa Inc.			X																																
	Ardena			X																																
	Alibaba Corp Holdings			X																																

See 13c schedule attached for type and amount of income

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*W. Kurt Schneider*

Page      of     

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Home Depot			X																																
	Altria Corp Inc			X																																
	Church & Dwight Inc			X																																
	Altria Pharma			X																																
	Baxter Int'l			X																																
	Danaher Corp			X																																
	Lilly EL Co.			X																																
	Zetis Inc			X																																
	Fairlife Co.			X																																
	Fidelity National Info			X																																
	Argal Holdings			X																																
	Deer Report Inc			X																																
	Bookings Hlds Inc			X																																
	PrimeNet Resources			X																																
	Blackrock Inc.			X																																
	CH2M Group Inc.			X																																

*See Responder Attachment for type and amounts of income*

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*W. Kurt Schneider*

Page      of     

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E			
	<i>United HealthCare Inc</i>																																						
	<i>Cintas Corp</i>																																						
	<i>Waste Management</i>																																						
	<i>Salomon Corp</i>																																						
	<i>Sevenson Inc</i>																																						
	<i>Activision Blizzard Inc</i>																																						
	<i>American Tower Corp</i>																																						
	<i>Dineco Holdings Co</i>																																						
	<i>Amgen Corp</i>																																						
	<i>Satran SA</i>																																						

*See Business Affidavit for type and amounts of income*

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	Bank of America					X																															
	Apple Inc.								X																												
	Blackrock Inc.								X																												
	Exxon Mobil Corp								X																												
	Johnson Johnson								X																												
	Microsoft Corp.								X																												
	PepsiCo								X																												
	PL 11/15/16 SRS								X																												
	Pharm (Lilly) Co Inc								X																												
	Infcl Corp								X																												
	Sealed Air Corp								X																												
	Coca Cola Co								X																												
	Alphabet Inc SRS CIL								X																												
	Alphabet Inc SRS CIA								X																												
	Blackrock Hedge Corp								X																												
	McDonald Int'l Corp								X																												

See Merrill Lynch Attachments for type and amount of income

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify, e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Anastasiou, Inc.																																			
	Calypso Corp Loan																																			
	CHG Group Inc.																																			
	Delta Airlines Inc																																			
	Face book Inc.																																			
	SP Morgan Chase Co																																			
	Verizon Communications																																			
	Enron Power Trading																																			
	Health Care Select Corp																																			
	Johnson Russell 2000																																			
	Johnson SIF 560																																			
	Lewis Sachs SIF 560																																			
	Salad Soder SPOR																																			
	SPOR US Financial Soder																																			
	United Vector Corp																																			
	Vanguard Energy ETF																																			

See Merrill Lynch Advantage for types and amount of income

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Bush/Myers Squire	X																																		
	East Brothers Holdings	X																																		
	Quilcom Ins				X																															
	WSTA Pk. & L Corp.																																			
	Blackstone AFFH LLC																																			
	US Bank Bank																																			
	Talor Hancock Intl Bank																																			
	JP Morgan Strategies																																			
	Plumco Inc Fund																																			
	Sector SPOR Inductu																																			
	Northern Trust Hough																																			
	Albion Inc SPS																																			
	A-T-T Inc																																			
	ALXP Service Autos NV																																			
	First TR Realty																																			

See New York Attachments  
for Type and amount of Income



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

Page

of

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction				
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
sp	Capital One Bank																																				
sp	WHEAT FOOD																																				
sp	Prologix Inc. Corp																																				
sp	Scott's Miracle Grow																																				
sp	Saunder 5M Co																																				
sp	Procter + Gamble																																				
sp	Deerco																																				
sp	Monsieur Roger																																				
sp	Page 401K																																				
sp	Sakura Annuity																																				

## Page of

**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
SP	Amgen Fd.-529A																																			
SP	American Balanced Fd.-529A																																			
SP	American High Income Fd.-529A																																			
SP	American Midcap Fd.-529A																																			
SP	Capital Income Bldg.-529A																																			
SP	Fundamental Investors-529A																																			
SP	Global Balanced Fd.-529A																																			
SP	Global Fd. of Affinity-529A																																			
SP	Global Fd. of Growth-529A																																			
SP	Global Fd. of Income-529A																																			
SP	Global Fd. of Mid-Cap-529A																																			
SP	Global Fd. of Small-Cap-529A																																			
SP	Global Fd. of Tech-529A																																			
SP	Global Fd. of Value-529A																																			
SP	Global Fd. of World-529A																																			
SP	Global Fd. of US-529A																																			
SP	Global Fd. of US Divd-529A																																			
SP	Global Fd. of US Growth-529A																																			
SP	Global Fd. of US Mid-Cap-529A																																			
SP	Global Fd. of US Small-Cap-529A																																			
SP	Global Fd. of US Tech-529A																																			
SP	Global Fd. of US Value-529A																																			
SP	Global Fd. of US World-529A																																			

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

Page \_\_\_\_ of \_\_\_\_

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	None																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
	None																																		
	\$1-\$200																																		
	\$201-\$1,000																																		
	\$1,001-\$2,500																																		
	\$2,501-\$5,000																																		
	\$5,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	Over \$5,000,000																																		
	Spouse/DC Asset with income over \$1,000,000*																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

Page

of

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	NONE																																			
	DIVIDENDS																																			
	RENT																																			
	INTEREST																																			
	CAPITAL GAINS																																			
	EXCEPTED/BLIND TRUST																																			
	TAX-DEFERRED																																			
	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)																																			
	None																																			
	\$1-\$200																																			
	\$201-\$1,000																																			
	\$1,001-\$2,500																																			
	\$2,501-\$5,000																																			
	\$5,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with Income over \$1,000,000*																																			
	P, S, S(part), or E																																			

# SCHEDULE B - TRANSACTIONS

Name:

W. Kurt Schneider

Page \_\_\_\_ of \_\_\_\_

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" on the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period for any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.				Type of Transaction				Date	Amount of Transaction												
Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.				Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gains Exceeded \$200	(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000 (Spouse's DC Asset)
SP, DC, JT	SP	Example	Asset																		
		Example Mega Corp. Stock	Asset			X			X	3/9/77		X									
		See Prudential State funds																			
		Jan-Dec 2018 for transaction detail for funds																			
		See Bessemer Trust Transactions detail for funds																			
		Apr-May-Dec 2018 for funds																			
		See Merrill Lynch shares for transaction detail for Jan-Dec 2018 funds																			
		Three Rivers Farm LLC																			

Use additional sheets if more space is required.

## Page of

**Use additional sheets if more space is required.**





# SCHEDULE D - LIABILITIES

Name: W Kurt Schneider

Page      of     

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
	Merrill Lynch Credit Corp	Oct. 2012	Mortgage, Washington, DC					X						
	Key Bank L.O.C. - Oregon	June 2015	L.O.C. Camby, Oregon				X							
	SP Clearstar	March 2015	Mortgage-Hayfield House					X						

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Partner	Three Rivers Farm LLC

# SCHEDULE F - AGREEMENTS

Name:

W. Kurt Schrade

Page

of

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
Jan. 1997	State of Oregon	Oregon FEAS (continued participation)

# SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400
N.A.		

Name: <u>W. Kent Schroder</u>	Page _____ of _____
-------------------------------	---------------------

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Name: W. Kurt Schneider

Page \_\_\_\_\_ of \_\_\_\_\_

[illegible]

Name: _____	Page _____ of _____
-------------	---------------------

[illegible]

**Use additional sheets if more space is required.**

Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 01/31/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$37.89	[REDACTED]	[REDACTED]
Estimated Yield 0.32%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income				[REDACTED]	[REDACTED]
PIMCO FOREIGN BOND FUND USD HEDGED INST	PFORX CASH	750.29	\$10.68	[REDACTED]	[REDACTED]
Estimated Yield 1.14%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PRUDENTIAL TOTAL RETURN BOND CLASS Z	PDBZX CASH	2,262.469	\$14.42	[REDACTED]	[REDACTED]
Estimated Yield 2.89%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/29/17	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.67	0.725	(\$7.74)

Securities Purchased

Prisco Securities, LLC

MN\_CEBFDOKFBBSZZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/29/17	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.58	5.545	(\$80.85)
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.52	(\$0.52)
01/10/18	CASH	YOU SOLD	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 AS OF 01-10-18	(678.28)	\$678.28
01/29/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.34	(\$0.34)
01/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.98	(\$0.98)
TOTAL CORE FUND ACTIVITY					

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
12/29/17	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGED INST		\$7.74
12/29/17	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS Z		\$80.85
01/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.98

Prudential Securities, LLC

MN\_CEBFDQKFBBSZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Total Dividends					
[REDACTED]					
TOTAL INCOME					
[REDACTED]					

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					
01/10/18	CASH	FEE	MANAGED ACCOUNT FEE		(\$678.28)
Total Account Fees					
[REDACTED]					
TOTAL TAXES, FEES AND EXPENSES					
(\$678.28)					

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/02/18 CASH ADJUSTMENT					
MUTUAL FUND 1281 FEE CREDIT					
FIDELITY TREASURY 233809201					
\$0.52					
01/29/18 CASH ADJUSTMENT					
MUTUAL FUND 1281 FEE CREDIT					
FIDELITY TREASURY 233809201					
\$0.34					
TOTAL OTHER ACTIVITY					
[REDACTED]					

Pruco Securities, LLC

MN\_CBFDOKFBBSSZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRAEDER **Premiere Select IRA**  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 02/28/18	Current Market Value	Estimated Annual Income
WELLS FARGO CAB MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$36.35	[REDACTED]	[REDACTED]
Estimated Yield 0.34%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income					
PIMCO FOREIGN BOND FUND USD HEDGED INST	PFORX CASH	750.985	\$106.69	[REDACTED]	[REDACTED]
Estimated Yield 1.15%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PRUDENTIAL TOTAL RETURN BOND CLASS Z	PDBZX CASH	268.178	\$14.18	[REDACTED]	[REDACTED]
Estimated Yield 2.95%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/31/18	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.88	0.694	(\$7.41)

Securities Purchased

Pruco Securities, LLC

MN\_CEBFGWZBBSRQR\_BBBB 20180228

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRADER - Premier Select IRA  
Account Number [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/31/18	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.42	5.709	(\$82.32)
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.29	(\$0.29)
02/28/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.82	(\$0.82)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Dividends

01/31/18	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGED INST		\$7.41
----------	------	-------------------	---	--	--------

01/31/18	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS Z		\$82.32
----------	------	-------------------	--------------------------------------	--	---------

02/28/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.82
----------	------	-------------------	---	--	--------

Total Dividends

TOTAL INCOME

Pruco Securities, LLC

MN\_CEBFGWHZBBSRGR\_BBBB 20180228

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY 233809201		\$0.29
TOTAL OTHER ACTIVITY					[REDACTED]

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBFGWHZBBSRGR\_BBBB 20180228

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period March 1, 2018 to March 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbl/Cusip Account Type	Quantity	Price on 03/31/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$36.10	[REDACTED]	[REDACTED]
Estimated Yield 0.34%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income					
PIMCO FOREIGN BOND FUND USD HEDGED INST	PFORX CASH	151.904	\$10.78	[REDACTED]	[REDACTED]
Estimated Yield 1.19%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PRUDENTIAL TOTAL RETURN BOND CLASS Z	PDBZ CASH	2,273.561	\$14.27	[REDACTED]	[REDACTED]
Estimated Yield 2.94%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Securities Purchased</b>					
02/28/18	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.69	0.819	(\$8.75)

Pruco Securities, LLC

MN\_CEBFKVYBBBVMLS\_BBBB 20180329

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period March 1, 2018 to March 31, 2018

W K SCHRADER  
Account Number: [REDACTED] Direct IRA

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/28/18	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.18	5.373	(\$76.19)

03/27/18	CASH	REINVESTMENT	MFS VALUE FUND CLASS I REINVEST @ \$38.9500	1.467	(\$57.13)
----------	------	--------------	---	-------	-----------

Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/29/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	1.11	(\$1.11)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Dividends

02/28/18	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGED INST		\$8.75
----------	------	-------------------	---	--	--------

02/28/18	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS Z		\$76.19
----------	------	-------------------	--------------------------------------	--	---------

03/27/18	CASH	DIVIDEND RECEIVED	MFS VALUE FUND CLASS I		\$57.13
----------	------	-------------------	------------------------	--	---------

03/29/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.11
----------	------	-------------------	---	--	--------

Total Dividends

Pruco Securities, LLC

MN\_CEBFKVYBBBVML\_S\_BBBB 201 80329

Account carried with National Financial Services LLC, Member NYSE, SIPC



Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER, President Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 04/30/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$36.01	[REDACTED]	[REDACTED]
Estimated Yield 0.34%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Equity</b>				[REDACTED]	[REDACTED]
<b>Fixed Income</b>				[REDACTED]	[REDACTED]
PIMCO FOREIGN BOND FUND USD HEDGED INST	PFORX CASH	35.633	\$10.75	[REDACTED]	[REDACTED]
Estimated Yield 1.23%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>PRUDENTIAL TOTAL RETURN BOND CLASS Z</b>	PDBZX CASH	2,279.754	\$14.11	[REDACTED]	[REDACTED]
Estimated Yield 2.98%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Fixed Income</b>				[REDACTED]	[REDACTED]
<b>Total Mutual Funds</b>				[REDACTED]	[REDACTED]
<b>Total Securities</b>				[REDACTED]	[REDACTED]
<b>TOTAL PORTFOLIO VALUE</b>				[REDACTED]	[REDACTED]

## Activity

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

03/29/18	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.78	1.029	(\$11.09)
----------	------	--------------	---	-------	-----------

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/29/18	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.27	6.203	(\$88.52)
Total Securities Purchased					

Securities Sold					
04/10/18	CASH	YOU SOLD	PARAMETRIC EMERGING MKTS INST CL SOLICITED ORDER CONF:035787401 @ 16.0100	(26.296)	\$421.00

04/10/18	CASH	YOU SOLD	HARDING LOEWNER INTL EQUITY PORT INSTL SOLICITED ORDER CONF: [REDACTED] @ 22.9800	(12.75)	\$293.00
----------	------	----------	---	---------	----------

04/10/18	CASH	YOU SOLD	T ROWE PRICE GROWTH STOCK ADVISOR CL SOLICITED ORDER @ 62.6400	(4.981)	\$312.00
----------	------	----------	--	---------	----------

04/10/18	CASH	YOU SOLD	VICTORY SYCAMORE SM CO OPPORTUNITY SOLICITED ORDER CONF: [REDACTED] @ 46.1500	(7.779)	\$359.00
----------	------	----------	---	---------	----------

Total Securities Sold

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/02/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.35	(\$0.35)

04/10/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	1.385	(\$1,385.00)
----------	------	------------	---	-------	--------------

04/10/18	CASH	YOU SOLD	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 AS OF 04-10-18	(696.22)	\$696.22
----------	------	----------	--	----------	----------

04/30/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	2.02	(\$2.02)
----------	------	------------	---	------	----------

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY continued

TOTAL CORE FUND ACTIVITY

Amount

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Dividends

03/29/18	CASH	DIVIDEND RECEIVED	PIACO FOREIGN BOND FUND USD HEDGED INST		\$11.09
----------	------	-------------------	---	--	---------

03/29/18	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS Z		\$88.52
----------	------	-------------------	--------------------------------------	--	---------

04/30/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.63
----------	------	-------------------	---	--	--------

Total Dividends

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Account Fees

04/10/18	CASH	FEE	MANAGED ACCOUNT FEE		(\$886.22)
----------	------	-----	---------------------	--	------------

Total Account Fees

TOTAL TAXES, FEES AND EXPENSES

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER, Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/02/18	CASH	ADJUSTMENT	MUTUAL FUND 12B1 FEE CREDIT FIDELITY TREASURY 233009201		\$0.35
04/30/18	CASH	ADJUSTMENT	MUTUAL FUND 12B1 FEE CREDIT FIDELITY TREASURY 233009201		\$0.39
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period May 1, 2018 to May 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 05/31/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$36.45	[REDACTED]	[REDACTED]
Estimated Yield 0.34%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Equity</b>				[REDACTED]	[REDACTED]
<b>Fixed Income</b>				[REDACTED]	[REDACTED]
PIMCO FOREIGN BOND FUND USD HEDGED INST	PFORX CASH	753.853	\$10.76	[REDACTED]	[REDACTED]
Estimated Yield 1.28%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>PRUDENTIAL TOTAL RETURN BOND CLASS Z</b>	PDBZX CASH	2,285.682	\$14.11	[REDACTED]	[REDACTED]
Estimated Yield 3.01%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Fixed Income</b>				[REDACTED]	[REDACTED]
<b>Total Mutual Funds</b>				[REDACTED]	[REDACTED]
<b>Total Securities</b>				[REDACTED]	[REDACTED]
<b>TOTAL PORTFOLIO VALUE</b>				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

04/30/18	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.75	1.02	(\$10.97)
----------	------	--------------	---	------	-----------

Pruco Securities, LLC

MN\_CEBFQJTWBBSXQT\_BBBD 20180531

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period May 1, 2018 to May 31, 2018  
 WK SCHRAEDER, Premiere Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/30/18	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.11	5.928	(\$83.65)
<b>Total Securities Purchased</b>					

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/29/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.42	(\$0.42)
05/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	2.01	(\$2.01)
<b>TOTAL CORE FUND ACTIVITY</b>					

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Dividends</b>					
04/30/18	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGED INST		\$10.97
04/30/18	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS Z		\$83.65
05/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$2.01
<b>Total Dividends</b>					
<b>TOTAL INCOME</b>					

Statement for the Period May 1, 2018 to May 31, 2018

W K SCHRADER Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/29/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT		\$0.42
			FIDELITY TREASURY 233809201		
TOTAL OTHER ACTIVITY					[REDACTED]

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undemargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBFQJTWBBSXQT\_BBBBB 20180531

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period June 1, 2018 to June 30, 2018

WK SCHRAEDER - Rollover IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 06/30/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$36.62	[REDACTED]	[REDACTED]
Estimated Yield 0.33%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Equity</b>				[REDACTED]	[REDACTED]
<b>Fixed Income</b>				[REDACTED]	[REDACTED]
PGIM TOTAL RETURN BOND CL Z	PDBZX CASH	2,281.18	\$14.07	[REDACTED]	[REDACTED]
Estimated Yield 3.05%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO FOREIGN BOND FUND USD HEDGD INST	PFORX CASH	754.912	\$10.79	[REDACTED]	[REDACTED]
Estimated Yield 1.33%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
<b>Total Fixed Income</b>				[REDACTED]	[REDACTED]
<b>Total Mutual Funds</b>				[REDACTED]	[REDACTED]
<b>Total Securities</b>				[REDACTED]	[REDACTED]
<b>TOTAL PORTFOLIO VALUE</b>				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

06/27/18	CASH	REINVESTMENT	PIMCO FOREIGN BOND FUND USD HEDGED INST REINVESTED @ \$10.76	1.059	(\$11.39)
----------	------	--------------	---	-------	-----------

Pruco Securities, LLC

MN\_CEBFSXNWBBWBBCP\_BBBB 201 80629

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period June 1, 2018 to June 30, 2018

W K SCHRAEDER, Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/31/18	CASH	REINVESTMENT	PRUDENTIAL TOTAL RETURN BOND CLASS 2 REINVESTED @ \$14.11	6.266	(\$88.41)

06/27/18	CASH	REINVESTMENT	MFS VALUE FUND CLASS I REINVEST @ \$38.6900	2.302	(\$89.08)
----------	------	--------------	---	-------	-----------

Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/29/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	2.14	(\$2.14)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Dividends

05/31/18	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGED INST		\$11.39
----------	------	-------------------	---	--	---------

05/31/18	CASH	DIVIDEND RECEIVED	PRUDENTIAL TOTAL RETURN BOND CLASS 2		\$88.41
----------	------	-------------------	--------------------------------------	--	---------

06/27/18	CASH	DIVIDEND RECEIVED	MFS VALUE FUND CLASS I		\$89.08
----------	------	-------------------	------------------------	--	---------

06/29/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$2.14
----------	------	-------------------	---	--	--------

Total Dividends

Pruco Securities, LLC

MN\_CEBFSXNWBBWBCP\_BBBB 20180629

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRADER - Premium Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

Description	Symbols/Quosp Account Type	Quantity	Price on 07/31/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$37.39	[REDACTED]	[REDACTED]
Estimated Yield 0.33%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income				[REDACTED]	[REDACTED]
PGIM TOTAL RETURN BOND CL Z	PDBZX CASH	2,299.232	\$10.06	[REDACTED]	[REDACTED]
Estimated Yield 3.12%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST	PFORX CASH	756.125	\$10.78	[REDACTED]	[REDACTED]
Estimated Yield 1.38%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

06/29/18	CASH	REINVESTMENT	MFS MA INVESTORS TRUST CLASS I REINVEST @ \$31.5500	2.254	(\$71.11)
----------	------	--------------	--	-------	-----------

Pruco Securities, LLC

MN\_CEBFWMQMBBTTJQ\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRADER - Premium Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/29/18	CASH	REINVESTMENT	MFS MA INVESTORS TRUST CLASS I REINVEST @ \$31.5500	1.715	(\$54.11)

06/29/18	CASH	REINVESTMENT	PMCO FOREIGN BOND FUND USD HEDGD INST REINVESTED @ \$10.79	1.213	(\$13.09)
----------	------	--------------	---	-------	-----------

06/29/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.07	7.284	(\$102.48)
----------	------	--------------	---	-------	------------

**Total Securities Purchased**

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/02/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.53	(\$0.53)

07/10/18	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 07-10-18	(698.56)	\$698.56
----------	------	----------	---	----------	----------

07/30/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.34	(\$0.34)
----------	------	------------	--	------	----------

07/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	1.84	(\$1.84)
----------	------	------------	--	------	----------

**TOTAL CORE FUND ACTIVITY**

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

**Dividends**

06/29/18	CASH	DIVIDEND RECEIVED	MFS MA INVESTORS TRUST CLASS I		\$54.11
----------	------	-------------------	--------------------------------	--	---------

Pruco Securities, LLC

MN\_CEBFWMQMBBTJDO\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRAEDER - Premium Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**ACTIVITY > INCOME** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/29/18	CASH	DIVIDEND RECEIVED	PIMCO FOREIGN BOND FUND USD HEDGD INST		\$13.09
06/29/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$102.48
07/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$1.84
<b>Total Dividends</b>					\$171.52

<b>Capital Gain</b>					
06/29/18	CASH	LONG CAP GAIN	MFS MA INVESTORS TRUST CLASS I		\$71.11
<b>Total Capital Gain</b>					\$71.11
<b>TOTAL INCOME</b>					[REDACTED]

**ACTIVITY > TAXES, FEES AND EXPENSES**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Account Fees</b>					
07/10/18	CASH	FEE	MANAGED ACCOUNT FEE		(\$698.56)
<b>Total Account Fees</b>					[REDACTED]
<b>TOTAL TAXES, FEES AND EXPENSES</b>					[REDACTED]

**ACTIVITY > OTHER ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/02/18	CASH	ADJUSTMENT	MUTUAL FUND 12B1 FEE CREDIT FIDELITY TREASURY 23309201		\$0.53

Pruco Securities, LLC

MN\_CEBFWMMQMBB1JDQ\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRADER ~~Prudential Select IRA~~  
Account Number: ~~XXXXXXXXXX~~

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY > OTHER ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/30/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY 233809/201		\$0.34
TOTAL OTHER ACTIVITY					

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBFWMMQB3BTJDQ\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period August 1, 2018 to August 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS - MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 08/31/18	Current Market Value	Estimated Annual Income
WELLS FARGO CAB MID CAP VALUE INSTL SHS	CBMSX CASH	324.25	\$37.92	[REDACTED]	[REDACTED]
Estimated Yield 0.32%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income				[REDACTED]	[REDACTED]
PGIM TOTAL RETURN BOND CL Z	PDBZX CASH	2,305.11	\$14.08	[REDACTED]	[REDACTED]
Estimated Yield 3.17%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST	PFORX CASH	757.32	\$10.77	[REDACTED]	[REDACTED]
Estimated Yield 1.45%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

Pruco Securities, LLC

MN\_CEBGBCQHBBTSSZS\_BBBB 20180831

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period August 1, 2018 to August 31, 2018

W K SCHRAEDER - Prudential Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/31/18	CASH	REINVESTMENT	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.78	1.195	(\$12.89)
07/31/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.06	5.878	(\$82.65)
08/10/18	CASH	REINVESTMENT	PARAMETRIC EMERGING MKTS INST CL REINVEST @ \$14.8300	0.359	(\$5.32)
<b>Total Securities Purchased</b>					

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	1.65	(\$1.65)
<b>TOTAL CORE FUND ACTIVITY</b>					

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Dividends</b>					
07/31/18	CASH	DIVIDEND RECEIVED	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$12.88
07/31/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$82.65
08/10/18	CASH	DIVIDEND RECEIVED	PARAMETRIC EMERGING MKTS INST CL		\$5.32
08/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.65

Prudential Securities, LLC

MN\_CEBGBCQHBBTBSZS\_BBBB 20180831

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER -Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS - MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 09/30/18	Current Market Value	Estimated Annual Income
WELLS FARGO C&B MID CAP VALUE INSTL S	CBMSX CASH	324.25	\$38.26	[REDACTED]	[REDACTED]
Estimated Yield 0.32%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Equity				[REDACTED]	[REDACTED]
Fixed Income					
PGIM TOTAL RETURN BOND CL Z	PDRZX CASH	2.31	\$13.95	[REDACTED]	[REDACTED]
Estimated Yield 3.26%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST	PFORX CASH	758.626	\$16.74	[REDACTED]	[REDACTED]
Estimated Yield 1.52%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income				[REDACTED]	[REDACTED]
Total Mutual Funds				[REDACTED]	[REDACTED]
Total Securities				[REDACTED]	[REDACTED]
TOTAL PORTFOLIO VALUE				[REDACTED]	[REDACTED]

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
--------------------	-----------------	-------------	-------------	----------	--------

**Securities Purchased**

Pruco Securities, LLC

MN\_CEBGDKGTBBVWFB\_BBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER, Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/31/18	CASH	REINVESTMENT	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.77	1.306	(\$14.07)

08/31/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.08	7.171	(\$100.97)
----------	------	--------------	--	-------	------------

09/28/18	CASH	REINVESTMENT	MFS VALUE FUND CLASS I REINVEST @ \$41.1700	1.448	(\$59.62)
----------	------	--------------	---	-------	-----------

**Total Securities Purchased**

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/04/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKKT FD DAILY MONEY @ 1	0.36	(\$0.36)

09/28/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKKT FD DAILY MONEY @ 1	1.67	(\$1.67)
----------	------	------------	---	------	----------

**TOTAL CORE FUND ACTIVITY**

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

**Dividends**

08/31/18	CASH	DIVIDEND RECEIVED	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$14.07
----------	------	-------------------	---	--	---------

08/31/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$100.97
----------	------	-------------------	-----------------------------	--	----------

09/28/18	CASH	DIVIDEND RECEIVED	MFS VALUE FUND CLASS I		\$59.62
----------	------	-------------------	------------------------	--	---------

Pruco Securities, LLC

MN\_CEBGDKGTBBBWWFB\_BBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER - Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/28/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.67
Total Dividends					\$176.33

TOTAL INCOME

ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/04/18	CASH	ADJUSTMENT	MUTUAL FUND 12B1 FEE CREDIT FIDELITY TREASURY 233809201		\$0.36
TOTAL OTHER ACTIVITY					[REDACTED]

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemptions by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemptions, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemptions. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition, respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBGDKGTBBBWWFB\_BBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

W K SCHRADER - Premium Select IRA  
Account Number [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/28/18	CASH	REINVESTMENT	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.74	1.067	(\$11.46)

09/28/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.95	5.642	(\$78.71)
----------	------	--------------	--	-------	-----------

**Total Securities Purchased** (\$90.17)

**Securities Sold**

10/12/18	CASH	YOU SOLD	EMERALD GROWTH INSTITUTIONAL SOLICITED ORDER CONF:000162074 @ 27.4800	(18.595)	\$511.00
----------	------	----------	---	----------	----------

10/12/18	CASH	YOU SOLD	MFS VALUE FUND CLASS I SOLICITED ORDER CONF:000047097 @ 39.0400	(9.939)	\$388.00
----------	------	----------	---	---------	----------

10/12/18	CASH	YOU SOLD	T ROWE PRICE GROWTH STOCK ADVISOR CL SOLICITED ORDER @ 64.4000	(7.764)	\$500.00
----------	------	----------	--	---------	----------

**Total Securities Sold** [REDACTED]

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/01/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.29	(\$0.29)

10/10/18	CASH	YOU SOLD	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 10-10-18	(722.3)	\$722.30
----------	------	----------	--	---------	----------

10/12/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	1.399	(\$1,399.00)
----------	------	------------	---	-------	--------------

10/29/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	0.35	(\$0.35)
----------	------	------------	---	------	----------

Pruco Securities, LLC

MN\_CEBGGZPCBBT7DZ\_BBBBB 20181031

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

WK SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MKMT FD DAILY MONEY @ 1	2.32	(\$2.32)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Dividends

09/28/18	CASH	DIVIDEND RECEIVED	PIMCO INTL BD (U.S. DOLLAR-HEDED) INST		\$11.46
----------	------	-------------------	--	--	---------

09/28/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$78.71
----------	------	-------------------	-----------------------------	--	---------

10/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED		\$2.32
----------	------	-------------------	---	--	--------

Total Dividends

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

Account Fees

10/10/18	CASH	FEE	MANAGED ACCOUNT FEE		(\$722.30)
----------	------	-----	---------------------	--	------------

Total Account Fees

TOTAL TAXES, FEES AND EXPENSES

Pruco Securities, LLC

MN\_CEBGGZPCBBBTZDZ\_BBBB 20181031

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/01/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY 233809201		\$0.29
10/29/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY 233809201		\$0.35
TOTAL OTHER ACTIVITY					[REDACTED]

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redemption securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redemption securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redemption. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBGQZPCBBBTZDZ\_BBBB 20181031

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period November 1, 2018 to November 30, 2018

WK SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS - MUTUAL FUNDS** *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 11/30/18	Current Market Value	Estimated Annual Income
PGIM TOTAL RETURN BOND CL Z	PDBZX <i>continued</i>				
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST	PFORX CASH	760.00	\$10.73		
Estimated Yield 1.60%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income					
Total Mutual Funds					
Total Securities					
TOTAL PORTFOLIO VALUE					

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Securities Purchased</b>					
10/31/18	CASH	REINVESTMENT	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.75	1.169	(\$12.57)
10/31/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.82	7.53	(\$104.06)
11/13/18	CASH	YOU BOUGHT	BAIRD MID CAP INSTL CLASS SHS PROSPECTUS UNDER SEPARATE COVER SOLICITED ORD. @ 20 2000	211.693	(\$4,276.00)

Pruco Securities, LLC

MN\_CEBGKHSKBBBZWKC\_BBBB 20181130

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period November 1, 2018 to November 30, 2018  
WK SCHRADER - Prudential Select IRA  
Account Number [REDACTED]  
Separate Acct Manager: PRUDCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/13/18	CASH	YOU BOUGHT	DELAWARE VALUE FUND INSTITUTIONAL PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 22.1400	676.107	(\$14,969.00)
11/13/18	CASH	YOU BOUGHT	HARDING LOEWNER INTL EQUITY PORT INSTL PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 20.4900	534.163	(\$10,945.00)
11/13/18	CASH	YOU BOUGHT	TRANSAMERICA INTL EQUITY CL I PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 17.4600	767.468	(\$13,400.00)
11/20/18	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVESTED @ \$29.90 AS OF 11/20/18	42.517	(\$1,271.27)
11/20/18	CASH	REINVESTMENT	ARTISAN MID CAP FUND ADVISOR REINVESTED @ \$29.90 AS OF 11/20/18	2.427	(\$72.58)
Total Securities Purchased					(\$45,050.48)
Securities Sold					
11/13/18	CASH	YOU SOLD	PARAMETRIC EMERGING MKTS INST CL SOLICITED ORDER @ 13.5700	(572.05)	\$7,762.72
11/13/18	CASH	YOU SOLD	ALPS VALUE FUND CLASS I SOLICITED ORDER @ 39.6600	(402.095)	\$15,947.09
11/13/18	CASH	YOU SOLD	PGIM JEN INTL OPPORTUNITIES CL Z SOLICITED ORDER @ 15.8900	(902.082)	\$14,334.08

Statement for the Period November 1, 2018 to November 30, 2018

W K SCHRAEDER - Premier Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/13/18	CASH	YOU SOLD	PGIM JENNISON MID CAP GROWTH CL Z SOLICITED ORDER @ 37.7900	(124.579)	\$4,707.84
<b>Total Securities Sold</b>					

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/13/18	CASH	YOU SOLD	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	(938.27)	\$838.27
11/20/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	2.22	(\$2.22)

**TOTAL CORE FUND ACTIVITY**

**ACTIVITY > INCOME**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
-----------------	--------------	-------------	-------------	----------	--------

**Dividends**

10/31/18	CASH	DIVIDEND RECEIVED	PMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$12.57
----------	------	-------------------	--	--	---------

10/31/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$104.06
----------	------	-------------------	-----------------------------	--	----------

11/20/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED		\$2.22
----------	------	-------------------	---	--	--------

**Total Dividends**

**Capital Gain**

11/20/18	CASH	LONG CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$1,271.27
----------	------	---------------	------------------------------	--	------------

Pruco Securities, LLC

MN\_CEBGKHSKBBBZWKC\_BBBB 20181130

Account carried with National Financial Services LLC, Member NYSE, SIPC





Statement for the Period November 1, 2018 to November 30, 2018  
WK SCHFADER - Premium Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

ACTIVITY > INCOME continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/20/18	CASH	SHORT CAP GAIN	ARTISAN MID CAP FUND ADVISOR		\$72.58
Total Capital Gain					[REDACTED]
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS utilizes an impartial lottery allocation system. In accordance with partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undemargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Statement for the Period December 1, 2018 to December 31, 2018

W K SCHRAEDER, Prudential Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

HOLDINGS > MUTUAL FUNDS continued

Description	Symbol/Security Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income
PGIM TOTAL RETURN BOND CL Z	PDBZX continued				
Dividend Option Reinvest					
Capital Gain Option Reinvest					
PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST	PFORX CASH	770.815	\$10.64		
Estimated Yield 1.68%					
Dividend Option Reinvest					
Capital Gain Option Reinvest					
Total Fixed Income					
Total Mutual Funds					
Total Securities					
TOTAL PORTFOLIO VALUE					

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
11/30/18	CASH	REINVESTMENT	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.73	1.318	(\$14.14)
11/30/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.83	7.215	(\$99.79)
12/05/18	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$25.8900	62.396	(\$1,615.44)

Pruco Securities, LLC

MN\_CEBGMFHKBBBCBNQV\_BB BBBB 20181231

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018

WK SCHRADER - Prudential Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUDCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/12/18	CASH	REINVESTMENT	PMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.71 AS OF 12/12/18	1.219	(\$13.06)
12/12/18	CASH	REINVESTMENT	PMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.71 AS OF 12/12/18	0.792	(\$8.48)
12/13/18	CASH	REINVESTMENT	HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18	9.79	(\$287.24)
12/13/18	CASH	REINVESTMENT	HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18	4.624	(\$135.67)
12/13/18	CASH	REINVESTMENT	HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18	0.607	(\$17.82)
12/13/18	CASH	REINVESTMENT	T ROWE PRICE GROWTH STOCK ADVISOR CL REINVESTED @ \$59.09 AS OF 12/13/18	18.957	(\$1,120.17)
12/13/18	CASH	REINVESTMENT	T ROWE PRICE GROWTH STOCK ADVISOR CL REINVESTED @ \$59.09 AS OF 12/13/18	2.195	(\$128.73)
12/17/18	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$21.9600	23.158	(\$508.54)
12/17/18	CASH	REINVESTMENT	WELLS FARGO C&B MID CAP VALUE INSTL SHS REINVEST @ \$33.0100	1.989	(\$65.66)
12/18/18	CASH	REINVESTMENT	HARDING LOEWNER INTL EQUITY PORT INSTL REINVESTED @ \$19.36 AS OF 12/18/18	18.529	(\$358.73)

Pruco Securities, LLC

MN\_CEBGMFHKBBBCBNQV\_BBBB 20181231

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER - Prudential Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/18/18	CASH	REINVESTMENT	MFS MA INVESTORS TRUST CLASS I REINVEST @ \$26.2600	100.385	(\$2,636.12)
12/18/18	CASH	REINVESTMENT	MFS MA INVESTORS TRUST CLASS I REINVEST @ \$26.2600	8.796	(\$230.98)
12/18/18	CASH	REINVESTMENT	MFS MA INVESTORS TRUST CLASS I REINVEST @ \$26.2600	1.382	(\$36.30)
12/20/18	CASH	REINVESTMENT	TRANSAMERICA INTL EQUITY CL I REINVEST @ \$15.5600	52.381	(\$815.05)
12/20/18	CASH	REINVESTMENT	TRANSAMERICA INTL EQUITY CL I REINVEST @ \$15.5600	35.16	(\$547.09)
12/20/18	CASH	REINVESTMENT	VICTORY SYCAMORE SM CO OPPORTUNITY I REINVEST @ \$37.8200	13.545	(\$512.28)
12/20/18	CASH	REINVESTMENT	VICTORY SYCAMORE SM CO OPPORTUNITY I REINVEST @ \$37.8200	3.15	(\$119.13)
12/21/18	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.87 AS OF 12/21/18	14.49	(\$200.98)
12/24/18	CASH	REINVESTMENT	DELAWARE VALUE FUND INSTITUTIONAL REINVEST @ \$18.9900	29.159	(\$553.73)
12/24/18	CASH	REINVESTMENT	DELAWARE VALUE FUND INSTITUTIONAL REINVEST @ \$18.9900	3.667	(\$69.64)

Pruco Securities, LLC

MN\_CEBGMFHKBCBNQV\_BB8BB 20181231

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER, Prudential Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/24/18	CASH	REINVESTMENT	DELAWARE VALUE FUND INSTITUTIONAL REINVEST @ \$18.9900	1.958	(\$37.19)
12/26/18	CASH	REINVESTMENT	BAIRD MID CAP INSTL CLASS SHS REINVESTED @ \$17.37 AS OF 12/26/18	13.203	(\$228.33)
12/26/18	CASH	REINVESTMENT	BAIRD MID CAP INSTL CLASS SHS REINVESTED @ \$17.37 AS OF 12/26/18	0.311	(\$5.41)
12/26/18	CASH	REINVESTMENT	PMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.63 AS OF 12/26/18	6.624	(\$70.41)
12/27/18	CASH	REINVESTMENT	VICTORY STYCAMORE SM CO OPPORTUNITY I REINVEST @ \$37.2500	0.994	(\$37.01)

**Total Securities Purchased**

**ACTIVITY > CORE FUND ACTIVITY**

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/03/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	0.42	(\$0.42)
12/31/18	CASH	YOU BOUGHT	FIDELITY TREASURY MMKT FD DAILY MONEY @ 1	1.9	(\$1.90)

**TOTAL CORE FUND ACTIVITY**

Pruco Securities, LLC

MN\_CEBGMFHKRBQBNQV\_BB BBBB 20181231

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER, **Premiere Select IRA**  
 Account Number: **[REDACTED]**  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Dividends</b>					
11/30/18	CASH	DIVIDEND RECEIVED	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$14.14
11/30/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$99.79
12/13/18	CASH	DIVIDEND RECEIVED	HOTCHKIS & WILEY LARGE CAP VALUE CL I		\$287.24
12/17/18	CASH	DIVIDEND RECEIVED	WELLS FARGO C&B MID CAP VALUE INSTL SHS		\$65.66
12/18/18	CASH	DIVIDEND RECEIVED	HARDING LOEVNER INTL EQUITY PORT INSTL		\$358.73
12/18/18	CASH	DIVIDEND RECEIVED	MFS MA INVESTORS TRUST CLASS I		\$230.98
12/20/18	CASH	DIVIDEND RECEIVED	TRANSAMERICA INTL EQUITY CL I		\$547.09
12/21/18	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$200.98
12/24/18	CASH	DIVIDEND RECEIVED	DELAWARE VALUE FUND INSTITUTIONAL		\$89.64
12/26/18	CASH	DIVIDEND RECEIVED	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$70.41
12/27/18	CASH	DIVIDEND RECEIVED	VICTORY SYCAMORE SM CO OPPORTUNITY I		\$37.01
12/31/18	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED		\$1.90
<b>Total Dividends</b>					

Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER - **Premiere Select IRA**  
 Account Number: **[REDACTED]**  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**ACTIVITY > INCOME** *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
<b>Capital Gain</b>					
12/05/18	CASH	LONG CAP GAIN	EATON VANCE GROWTH CLASS I		\$1,615.44
12/12/18	CASH	LONG CAP GAIN	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$8.48
12/12/18	CASH	SHORT CAP GAIN	PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST		\$13.06
12/13/18	CASH	LONG CAP GAIN	HOTCHKIS & WILEY LARGE CAP VALUE CL I		\$135.67
12/13/18	CASH	LONG CAP GAIN	T ROWE PRICE GROWTH STOCK ADVISOR CL		\$1,120.17
12/13/18	CASH	SHORT CAP GAIN	HOTCHKIS & WILEY LARGE CAP VALUE CL I		\$17.82
12/13/18	CASH	SHORT CAP GAIN	T ROWE PRICE GROWTH STOCK ADVISOR CL		\$129.73
12/17/18	CASH	LONG CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$508.54
12/18/18	CASH	LONG CAP GAIN	MFS MA INVESTORS TRUST CLASS I		\$2,636.12
12/18/18	CASH	SHORT CAP GAIN	MFS MA INVESTORS TRUST CLASS I		\$36.30
12/20/18	CASH	LONG CAP GAIN	TRANSAMERICA INTL EQUITY CL I		\$815.05
12/20/18	CASH	LONG CAP GAIN	VICTORY SYCAMORE SM CO OPPORTUNITY I		\$512.28
12/20/18	CASH	SHORT CAP GAIN	VICTORY SYCAMORE SM CO OPPORTUNITY I		\$119.13

**Pruco Securities, LLC**

MN\_CEBGMFHKBBCBNQV\_BB8BB 20181231

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC



Statement for the Period December 1, 2018 to December 31, 2018  
WK SCHRADER - Prudential Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

ACTIVITY > INCOME continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/24/18	CASH	LONG CAP GAIN	DELAWARE VALUE FUND INSTITUTIONAL		\$533.73
12/24/18	CASH	SHORT CAP GAIN	DELAWARE VALUE FUND INSTITUTIONAL		\$37.19
12/26/18	CASH	LONG CAP GAIN	BAIRD MID CAP INSTL CLASS SHS		\$229.33
12/26/18	CASH	SHORT CAP GAIN	BAIRD MID CAP INSTL CLASS SHS		\$5.41
Total Capital Gain					[REDACTED]
TOTAL INCOME					[REDACTED]
ACTIVITY > OTHER ACTIVITY					
Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/03/18	CASH	ADJUSTMENT	MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY		\$0.42
TOTAL OTHER ACTIVITY					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).



# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

AUD 04/12/2018 2S Sale (5,000) RIO TINTO LTD 263 (SALE CASH SETTLEMENT) 284.80 0.00

Total additions AUD 0.00

CAD 04/11/2018 2S Sale (15,000) ENCANA CORP 263 (SALE CASH SETTLEMENT) 163.48 0.00

05/18/2018 2S Sale (50,000) ENCANA CORP 263 (SALE CASH SETTLEMENT) 674.91 0.00

05/22/2018 2S Sale (130,000) ENCANA CORP 263 (SALE CASH SETTLEMENT) 1,779.74 0.00

05/23/2018 2S Sale (40,000) ENCANA CORP 263 (SALE CASH SETTLEMENT) 540.77 0.00

Total additions CAD 0.00

SEK 04/09/2018 2S Sale (150,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 1,536.30 0.00

04/10/2018 2S Sale (80,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 822.20 0.00

04/11/2018 2S Sale (60,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 616.31 0.00

04/12/2018 2S Sale (60,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 621.12 0.00

04/13/2018 2S Sale (60,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 610.06 0.00

04/16/2018 2S Sale (70,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 704.04 0.00

04/17/2018 2S Sale (35,000) NORDEA BANK AB 263 (SALE CASH SETTLEMENT) 354.38 0.00

Total additions SEK 0.00

USD 01/02/2018 1L Dividend 180,000 BAXTER INTERNATIONAL INC 183 (CASH DIVIDEND) \$28.80 \$0.00

01/03/2018 1L Dividend 110,000 NIKE INC CL B 183 (CASH DIVIDEND) \$22.00 \$0.00

01/03/2018 1L Dividend 0,000 FED TRUST UST OBLIG #59 183 (CASH DIVIDEND) \$13.40 \$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
M. KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	01/05/2018 2# Money mkt fund sale	(313.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$313.00	\$0.00
			@\$1.000			
01/08/2018 1L Dividend	90.000	PEPSICO INC	183 (CASH DIVIDEND)	\$72.45	\$0.00	
01/10/2018 1L Dividend	130.000	ALTRIA GROUP INC	183 (CASH DIVIDEND)	\$85.80	\$0.00	
01/12/2018 2# Money mkt fund sale	(5,400.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,400.00	\$0.00	
		@\$1.000				
01/16/2018 1L Dividend	50.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$7.50	\$0.00	
01/19/2018 1L Dividend	69.000	CHUBB LIMITED	183 (CASH DIVIDEND)	\$48.99	\$0.00	
2# Money mkt fund sale	(239.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$239.00	\$0.00	
		@\$1.000				
2S Sale	(15.000)	RAYTHEON CO NEW	263 (SALE CASH SETTLEMENT)	\$2,956.03	\$0.00	
		@\$197.104				
01/24/2018 1L Dividend	235.000	COMCAST CORP CL A NEW	183 (CASH DIVIDEND)	\$37.01	\$0.00	
01/26/2018 1L Dividend	130.000	DANAHER CORP	183 (CASH DIVIDEND)	\$18.20	\$0.00	
2# Money mkt fund sale	(3,864.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$3,864.00	\$0.00	
		@\$1.000				
2S Sale	(20.000)	LILLY ELI & CO	263 (SALE CASH SETTLEMENT)	\$1,686.84	\$0.00	
		@\$84.374				
01/29/2018 2S Sale	(50.000)	LILLY ELI & CO	263 (SALE CASH SETTLEMENT)	\$4,235.19	\$0.00	
		@\$84.736				
01/30/2018 2S Sale	(55.000)	LILLY ELI & CO	263 (SALE CASH SETTLEMENT)	\$4,737.72	\$0.00	
		@\$86.182				
02/01/2018 1L Dividend	60.000	RAYTHEON CO NEW	183 (CASH DIVIDEND)	\$47.85	\$0.00	
	65.000	BRISTOL-MYERS SQUIBB CO	183 (CASH DIVIDEND)	\$26.00	\$0.00	
02/02/2018 1L Dividend	0.000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$19.97	\$0.00	
02/05/2018 1L Dividend	0.000	OW STRATEGIC OPPTY'S FUND	183 (CASH DIVIDEND)	\$4,935.40	\$0.00	
		TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT				
	0.000	OW STRATEGIC OPPTY'S FUND	183 (CASH DIVIDEND)	\$4,935.40	\$0.00	
		TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT				

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD 02/05/2018 1L Dividend

Units	Description	US Dollar	Entered/Revised
0.000	OW STRATEGIC OPPTY'S FUND TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT	183 (CASH DIVIDEND)	\$4,438.41 \$0.00
0.000	OW STRATEGIC OPPTY'S FUND TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT	183 (CASH DIVIDEND)	\$4,438.40 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 12/14/2017 PAYMENT	183 (CASH DIVIDEND)	(\$1,301.02) \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT	183 (CASH DIVIDEND)	(\$1,215.91) \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT	183 (CASH DIVIDEND)	(\$1,181.17) \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT	183 (CASH DIVIDEND)	\$1,176.12 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT	183 (CASH DIVIDEND)	\$1,089.18 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT	183 (CASH DIVIDEND)	\$1,067.78 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT	183 (CASH DIVIDEND)	\$1,067.78 \$0.00
0.000	OW STRATEGIC OPPTY'S FUND TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT	183 (CASH DIVIDEND)	\$722.75 \$0.00
0.000	OW STRATEGIC OPPTY'S FUND TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT	183 (CASH DIVIDEND)	\$722.74 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 12/14/2017 PAYMENT	183 (CASH DIVIDEND)	\$124.90 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT	183 (CASH DIVIDEND)	\$116.73 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT	183 (CASH DIVIDEND)	\$113.39 \$0.00
0.000	OW MUNICIPAL BOND FUND TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT	183 (CASH DIVIDEND)	\$113.39 \$0.00

## Page 4 of 30

Principal Income

182 (CAPITAL GAINS DIST	(\$2,241.83)	\$0.00
RECEIPT (SHORT))		

182 (CAPITAL GAINS DIST) (\$2,241.83) \$0.00

**B  
ESSEMER  
TRUST**

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	03/01/2018 2S Sale	(100.000)	COMCAST CORP CL A NEW @\$36.850	263 (SALE CASH SETTLEMENT)	\$3,680.89	\$0.00
	03/02/2018 1L Dividend	0.000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$35.46	\$0.00
	03/06/2018 1L Dividend	100.000	VISA INC	183 (CASH DIVIDEND)	\$21.00	\$0.00
	2# Money mkt fund sale	(305.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$305.00	\$0.00
	03/08/2018 1L Dividend	190.000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$79.80	\$0.00
		145.000	DISCOVER FINANCIAL SVCS	183 (CASH DIVIDEND)	\$50.75	\$0.00
	2# Money mkt fund sale	(2,154.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$2,154.00	\$0.00
	2S Sale	(5.000)	ALPHABET INC CLASS C @\$1097.585	263 (SALE CASH SETTLEMENT)	\$5,487.59	\$0.00
	03/09/2018 2S Sale	(40.000)	FIDELITY NATL INFO SVCS @\$98.386	263 (SALE CASH SETTLEMENT)	\$3,934.14	\$0.00
	03/15/2018 1L Dividend	37,551.156	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,464.50	\$0.00
		165.000	DOW DU PONT INC	183 (CASH DIVIDEND)	\$62.70	\$0.00
		540.000	KEYCORP NEW	183 (CASH DIVIDEND)	\$56.70	\$0.00
	2# Money mkt fund sale	(3,816.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$3,816.00	\$0.00
	03/22/2018 1L Dividend	40.000	HOME DEPOT	183 (CASH DIVIDEND)	\$41.20	\$0.00
	03/26/2018 1L Dividend	515.000	NORDEA BANK AB	183 (CASH DIVIDEND)	\$432.24	\$0.00
			INCLUDES WITHHOLDING TAX OF \$129.67			
	03/29/2018 1L Dividend	38.000	BROADCOM LTD	183 (CASH DIVIDEND)	\$66.50	\$0.00
		140.000	FORTIVE CO	183 (CASH DIVIDEND)	\$9.80	\$0.00
		235.000	ENCANA CORP	183 (CASH DIVIDEND)	\$3.56	\$0.00
			INCLUDES WITHHOLDING TAX OF \$.89			
	03/30/2018 1L Dividend	80.000	PEPSICO INC	183 (CASH DIVIDEND)	\$64.40	\$0.00
		100.000	FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$32.00	\$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	04/02/2018	1L Dividend	150.000	BAXTER INTERNATIONAL INC	183 (CASH DIVIDEND)	\$24.00	\$0.00
			110.000	NIKE INC CL B	183 (CASH DIVIDEND)	\$22.00	\$0.00
	04/03/2018	1L Dividend	0.000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$33.99	\$0.00
	04/05/2018	2# Money mkt fund sale	(315.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$315.00	\$0.00
		Z1 Security receipt	38.000	BROADCOM INC	300 (ASSET TO BE RECEIVED FOR A MERGER)	\$0.00	\$0.00
			0.000	BROADCOM LTD SECURITY NAME CHANGE REVERSAL OF TRANSACTION REPORTED ON 04/05/2018	151 (SECURITY NAME CHANGE)	\$0.00	\$0.00
			0.000	BROADCOM LTD SECURITY NAME CHANGE	151 (SECURITY NAME CHANGE)	\$0.00	\$0.00
	04/09/2018	2# Money mkt fund sale	(2,179.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$2,179.00	\$0.00
	04/10/2018	1L Dividend	140.000	ALTRIA GROUP INC	183 (CASH DIVIDEND)	\$98.00	\$0.00
		2# Money mkt fund sale	(13,780.000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$13,780.00	\$0.00
		ZS Sale	(2,301.255)	OW LARGE CAP STRATEGIES FD @\$14.340	263 (SALE CASH SETTLEMENT)	\$33,000.00	\$0.00
			(196.749)	OW MUNICIPAL BOND FUND @\$11.690	263 (SALE CASH SETTLEMENT)	\$2,300.00	\$0.00
	04/11/2018	ZS Sale	(5.000)	BROADCOM INC @\$238.760	263 (SALE CASH SETTLEMENT)	\$1,193.57	\$0.00
			(5.000)	RAYTHEON CO NEW @\$217.460	263 (SALE CASH SETTLEMENT)	\$1,087.07	\$0.00
			(5.000)	THERMO FISHER SCIENTIFIC @\$211.640	263 (SALE CASH SETTLEMENT)	\$1,057.97	\$0.00
			(15.000)	BAXTER INTERNATIONAL INC @\$65.100	263 (SALE CASH SETTLEMENT)	\$975.87	\$0.00
			(10.000)	FIDELITY NATL INFO SVCS @\$97.130	263 (SALE CASH SETTLEMENT)	\$970.87	\$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
 KURT SCHRADER IM  
 All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD 04/1/2018 2S Sale

USD	Description	DS code	Principal/Income
(15,000)	DOW DU PONT INC @\$64,050	263 (SALE CASH SETTLEMENT)	\$960.12 \$0.00
(5,000)	HOME DEPOT @\$174,380	263 (SALE CASH SETTLEMENT)	\$871.67 \$0.00
(5,000)	APPLE INC @\$172,530	263 (SALE CASH SETTLEMENT)	\$862.43 \$0.00
(5,000)	ALIBABA GROUP HOLDINGS LTD @\$171,550	263 (SALE CASH SETTLEMENT)	\$857.53 \$0.00
(15,000)	CHURCH & DWIGHT INC @\$49,720	263 (SALE CASH SETTLEMENT)	\$745.18 \$0.00
(5,000)	CHUBB LIMITED @\$135,370	263 (SALE CASH SETTLEMENT)	\$676.63 \$0.00
(10,000)	CONOCOPHILLIPS @\$60,740	263 (SALE CASH SETTLEMENT)	\$606.98 \$0.00
(5,000)	VISA INC @\$120,140	263 (SALE CASH SETTLEMENT)	\$600.48 \$0.00
(5,000)	PEPSICO INC @\$109,940	263 (SALE CASH SETTLEMENT)	\$549.49 \$0.00
(15,000)	COMCAST CORP CL A NEW @\$34,380	263 (SALE CASH SETTLEMENT)	\$515.08 \$0.00
(5,000)	ZOETIS INC @\$82,680	263 (SALE CASH SETTLEMENT)	\$413.19 \$0.00
(20,000)	KEYCORP NEW @\$19,475	263 (SALE CASH SETTLEMENT)	\$388.69 \$0.00
(5,000)	PAYPAL HOLDINGS INC @\$76,330	263 (SALE CASH SETTLEMENT)	\$381.44 \$0.00
(10,000)	ENI S.P.A. ADR @\$37,050	263 (SALE CASH SETTLEMENT)	\$370.09 \$0.00
(5,000)	DISCOVER FINANCIAL SVCS @\$71,650	263 (SALE CASH SETTLEMENT)	\$358.04 \$0.00
(5,000)	BRISTOL-MYERS SQUIBB CO @\$60,590	263 (SALE CASH SETTLEMENT)	\$302.74 \$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
 V. KURT SCHRADER IM  
 All transactions entered between 01/01/2018 and 12/31/2018

USD      D-P      04/11/2018      2S Sale      (5,000)      MORGAN STANLEY GRP INC      263 (SALE CASH SETTLEMENT)      \$270.57      \$0.00

04/12/2018      1L Dividend      95,000      RIO TINTO LTD      183 (CASH DIVIDEND)      \$168.23      \$0.00

04/13/2018      2# Money mkt fund sale      (4,790,000)      FED TRUST UST OBLIG #59      227 (SWEEP REDEMPTION)      \$4,790.00      \$0.00

04/16/2018      1L Dividend      50,000      THERMO FISHER SCIENTIFIC      183 (CASH DIVIDEND)      \$8.50      \$0.00

04/19/2018      2S Sale      (60,000)      BRISTOL-MYERS SQUIBB CO      263 (SALE CASH SETTLEMENT)      \$3,160.02      \$0.00

04/20/2018      1L Dividend      69,000      CHUBB LIMITED      183 (CASH DIVIDEND)      \$48.99      \$0.00

04/25/2018      1L Dividend      135,000      COMCAST CORP CL A NEW      183 (CASH DIVIDEND)      \$25.65      \$0.00

04/27/2018      1L Dividend      130,000      DANAHER CORP      183 (CASH DIVIDEND)      \$20.80      \$0.00

05/01/2018      1L Dividend      65,000      BRISTOL-MYERS SQUIBB CO      183 (CASH DIVIDEND)      \$26.00      \$0.00

05/02/2018      1L Dividend      0,000      FED TRUST UST OBLIG #59      183 (CASH DIVIDEND)      \$32.03      \$0.00

05/04/2018      2# Money mkt fund sale      (300,000)      FED TRUST UST OBLIG #59      227 (SWEEP REDEMPTION)      \$300.00      \$0.00

05/07/2018      2S Sale      (75,000)      PAYPAL HOLDINGS INC      263 (SALE CASH SETTLEMENT)      \$5,418.01      \$0.00

05/09/2018      2# Money mkt fund sale      (1,017,000)      FED TRUST UST OBLIG #59      227 (SWEEP REDEMPTION)      \$1,017.00      \$0.00

05/10/2018      1L Dividend      40,000      RAYTHEON CO NEW      183 (CASH DIVIDEND)      \$34.70      \$0.00

05/15/2018      1L Dividend      185,000      MORGAN STANLEY GRP INC      183 (CASH DIVIDEND)      \$46.25      \$0.00

05/17/2018      2# Money mkt fund sale      (5,353,000)      FED TRUST UST OBLIG #59      227 (SWEEP REDEMPTION)      \$5,353.00      \$0.00

05/18/2018      1L Dividend      70,000      APPLE INC      183 (CASH DIVIDEND)      \$51.10      \$0.00

05/18/2018      1L Dividend      75,000      J.B. HUNT TRANSPORT SVCS      183 (CASH DIVIDEND)      \$18.00      \$0.00

05/21/2018      2S Sale      (10,000)      ENI S.P.A. ADR      263 (SALE CASH SETTLEMENT)      \$390.56      \$0.00

05/21/2018      2# Money mkt fund sale      (1,982,000)      FED TRUST UST OBLIG #59      227 (SWEEP REDEMPTION)      \$1,982.00      \$0.00



# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	Date	Description	OS code	Final balance
USD	05/21/2018	2S Sale		
		(90,000) ENI S.P.A. ADR @\$39,167	263 (SALE CASH SETTLEMENT)	\$3,523.12 \$0.00
	05/22/2018	2S Sale		
		(75,000) ENI S.P.A. ADR @\$38,955	263 (SALE CASH SETTLEMENT)	\$2,920.08 \$0.00
		(75,000) ENI S.P.A. ADR @\$38,955	263 (SALE CASH SETTLEMENT)	\$2,920.05 \$0.00
		75,000 ENI S.P.A. ADR REVERSAL OF TRANSACTION REPORTED ON 05/22/2018 @\$38,955	263 (SALE CASH SETTLEMENT)	(\$2,920.05) \$0.00
	05/25/2018	1L Dividend		
		130,000 CITIGROUP INC	183 (CASH DIVIDEND)	\$41.60 \$0.00
		2S Sale		
		(70,000) COMCAST CORP CL A NEW @\$31,895	263 (SALE CASH SETTLEMENT)	\$2,229.85 \$0.00
	05/29/2018	2# Money mkt fund sale		
		(1,650,000) FED TRUST UST OBLIG #59 @\$1,000	227 (SWEEP REDEMPTION)	\$1,650.00 \$0.00
		2S Sale		
		(50,000) COMCAST CORP CL A NEW @\$31,621	263 (SALE CASH SETTLEMENT)	\$1,579.01 \$0.00
	06/01/2018	1L Dividend		
		105,000 AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$47.78 \$0.00
		165,000 CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$47.03 \$0.00
		175,000 CHURCH & DWIGHT INC	183 (CASH DIVIDEND)	\$38.06 \$0.00
		125,000 ZOETIS INC	183 (CASH DIVIDEND)	\$15.75 \$0.00
	06/04/2018	1L Dividend		
		0,000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$35.37 \$0.00
	06/05/2018	1L Dividend		
		95,000 VISA INC	183 (CASH DIVIDEND)	\$19.95 \$0.00
		2# Money mkt fund sale		
		(5,480,000) FED TRUST UST OBLIG #59 @\$1,000	227 (SWEEP REDEMPTION)	\$5,480.00 \$0.00
		2S Sale		
		(1,518,341) OW LARGE CAP STRATEGIES FD @\$14,830	263 (SALE CASH SETTLEMENT)	\$24,000.00 \$0.00
		(574,383) OW SMALL & MIDCAP STRAT FD @\$17,410	263 (SALE CASH SETTLEMENT)	\$10,000.00 \$0.00
		(694,444) OW STRATEGIC OPRTYS FUND @\$7,920	263 (SALE CASH SETTLEMENT)	\$5,500.00 \$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

USD P 06/05/2018 2S Sale (425,532) OW MUNICIPAL BOND FUND @ \$11.750 263 (SALE CASH SETTLEMENT) \$5,000.00 \$0.00

06/06/2018 2# Money mkt fund sale (328,000) FED TRUST UST OBLIG #59 @ \$1.000 227 (SWEEP REDEMPTION) \$328.00 \$0.00

06/07/2018 1L Dividend 140,000 DISCOVER FINANCIAL SVCS 183 (CASH DIVIDEND) \$49.00 \$0.00

06/12/2018 1L Dividend 36,928.875 OW MUNICIPAL BOND FUND 183 (CASH DIVIDEND) \$1,514.08 \$0.00

06/14/2018 1L Dividend 190,000 MICROSOFT CORP 183 (CASH DIVIDEND) \$79.80 \$0.00

06/15/2018 1L Dividend 35,000 HOME DEPOT 183 (CASH DIVIDEND) \$36.05 \$0.00

520,000 KEYCORP NEW 183 (CASH DIVIDEND) \$62.40 \$0.00

150,000 DOW DU PONT INC 183 (CASH DIVIDEND) \$57.00 \$0.00

2# Money mkt fund sale (5,280,000) FED TRUST UST OBLIG #59 @ \$1.000 227 (SWEEP REDEMPTION) \$5,280.00 \$0.00

06/20/2018 1L Dividend 110,000 ENERGY SELECT SECTOR 183 (CASH DIVIDEND) \$56.36 \$0.00

06/21/2018 1L Dividend 6,000 BLACKROCK INC 183 (CASH DIVIDEND) \$17.28 \$0.00

06/26/2018 2# Money mkt fund sale (498,000) FED TRUST UST OBLIG #59 @ \$1.000 227 (SWEEP REDEMPTION) \$498.00 \$0.00

2S Sale (80,000) ENERGY SELECT SECTOR @ \$75.719 263 (SALE CASH SETTLEMENT) \$6,054.22 \$0.00

06/27/2018 2S Sale (30,000) ENERGY SELECT SECTOR @ \$73.726 263 (SALE CASH SETTLEMENT) \$2,210.56 \$0.00

06/29/2018 1L Dividend 75,000 PEPSCO INC 183 (CASH DIVIDEND) \$69.56 \$0.00

33,000 BROADCOM INC 183 (CASH DIVIDEND) \$57.75 \$0.00

100,000 FIDELITY NATL INFO SVCS 183 (CASH DIVIDEND) \$32.00 \$0.00

140,000 FORTIVE CO 183 (CASH DIVIDEND) \$9.80 \$0.00

07/02/2018 1L Dividend 135,000 BAXTER INTERNATIONAL INC 183 (CASH DIVIDEND) \$25.65 \$0.00

07/03/2018 1L Dividend 0,000 FED TRUST UST OBLIG #59 183 (CASH DIVIDEND) \$26.49 \$0.00

07/05/2018 1L Dividend 140,000 NIKE INC CL B 183 (CASH DIVIDEND) \$28.00 \$0.00

07/06/2018 2# Money mkt fund sale (298,000) FED TRUST UST OBLIG #59 @ \$1.000 227 (SWEEP REDEMPTION) \$298.00 \$0.00

# Cash Transaction Detail

## Additions

USD	07/10/2018	1L Dividend	140.000	ALTRIA GROUP INC	183 (CASH DIVIDEND)	\$98.00	\$0.00
	07/13/2018	1L Dividend	64.000	CHUBB LIMITED	183 (CASH DIVIDEND)	\$46.72	\$0.00
		2# Money mkt fund sale	(4,785.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$4,785.00	\$0.00
			@\$1.000				
		2S Sale	(10.000)	J B HUNT TRANSPORT SVCS	263 (SALE CASH SETTLEMENT)	\$1,222.26	\$0.00
			@\$122.258				
	07/16/2018	1L Dividend	45.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$7.65	\$0.00
		2# Money mkt fund sale	(1,186.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$1,186.00	\$0.00
			@\$1.000				
	07/17/2018	2S Sale	(33.000)	BROADCOM INC	263 (SALE CASH SETTLEMENT)	\$6,701.58	\$0.00
			@\$203.116				
			(25.000)	J B HUNT TRANSPORT SVCS	263 (SALE CASH SETTLEMENT)	\$3,046.46	\$0.00
			@\$121.900				
	07/18/2018	2# Money mkt fund sale	(844.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$844.00	\$0.00
			@\$1.000				
		2S Sale	(40.000)	J B HUNT TRANSPORT SVCS	263 (SALE CASH SETTLEMENT)	\$4,828.69	\$0.00
			@\$120.759				
	07/27/2018	1L Dividend	130.000	DANAHER CORP	183 (CASH DIVIDEND)	\$20.80	\$0.00
	08/02/2018	1L Dividend	40.000	RAYTHEON CO NEW	183 (CASH DIVIDEND)	\$34.70	\$0.00
			0.000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$23.23	\$0.00
	08/06/2018	2# Money mkt fund sale	(303.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$303.00	\$0.00
			@\$1.000				
	08/15/2018	1L Dividend	185.000	MORGAN STANLEY GRP INC	183 (CASH DIVIDEND)	\$55.50	\$0.00
		2# Money mkt fund sale	(5,344.000)	FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,344.00	\$0.00
			@\$1.000				
	08/16/2018	1L Dividend	70.000	APPLE INC	183 (CASH DIVIDEND)	\$51.10	\$0.00
	08/23/2018	2S Sale	(1,721.170)	OW SMALL & MIDCAP STRAT FD	263 (SALE CASH SETTLEMENT)	\$30,000.00	\$0.00
			@\$17.430				
	08/24/2018	1L Dividend	130.000	CITIGROUP INC	183 (CASH DIVIDEND)	\$58.50	\$0.00

# Cash Transaction Detail

## Additions

USD	08/27/2018 2S Sale	(30,000)	NIKE INC CL B @\$83.010	263 (SALE CASH SETTLEMENT)	\$2,489.08 \$0.00
		(20,000)	DANAHER CORP @\$99.816	263 (SALE CASH SETTLEMENT)	\$1,995.48 \$0.00
	08/28/2018 2# Money mkt fund sale	(2,537,000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$2,537.00 \$0.00
	08/31/2018 2# Money mkt fund sale	(250,000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$250.00 \$0.00
	09/04/2018 1L Dividend	105,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$47.78 \$0.00
		105,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$47.78 \$0.00
		105,000	AMERICAN WATER WORKS CO REVERSAL OF TRANSACTION REPORTED ON 09/04/2018	183 (CASH DIVIDEND)	(\$47.78) \$0.00
		165,000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$47.03 \$0.00
		175,000	CHURCH & DWIGHT INC	183 (CASH DIVIDEND)	\$38.06 \$0.00
		95,000	VISA INC	183 (CASH DIVIDEND)	\$19.95 \$0.00
		125,000	ZOETIS INC	183 (CASH DIVIDEND)	\$15.75 \$0.00
	09/05/2018 1L Dividend	0,000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$21.04 \$0.00
	09/06/2018 1L Dividend	140,000	DISCOVER FINANCIAL SVCS	183 (CASH DIVIDEND)	\$56.00 \$0.00
	09/07/2018 2# Money mkt fund sale	(7,078,000)	FED TRUST UST OBLIG #59 @\$1.000	227 (SWEEP REDEMPTION)	\$7,078.00 \$0.00
	2S Sale	(10,000)	VISA INC @\$143.026	263 (SALE CASH SETTLEMENT)	\$1,429.84 \$0.00
		(10,000)	MICROSOFT CORP @\$108.752	263 (SALE CASH SETTLEMENT)	\$1,087.11 \$0.00
	09/10/2018 2S Sale	(30,000)	AMERICAN WATER WORKS CO @\$89.411	263 (SALE CASH SETTLEMENT)	\$2,681.10 \$0.00
	09/11/2018 1L Dividend	36,928,875	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,661.80 \$0.00
	09/13/2018 1L Dividend	190,000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$79.80 \$0.00
		35,000	HOME DEPOT	183 (CASH DIVIDEND)	\$36.05 \$0.00
	09/14/2018 1L Dividend	520,000	KEYCORP NEW	183 (CASH DIVIDEND)	\$98.40 \$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	Date	Account	Description	Debit	Credit	Balance
	09/14/2018	1L Dividend	150.000 DOW DU PONT INC	183 (CASH DIVIDEND)	\$57.00	\$0.00
		2# Money mkt fund sale	(5,254.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,254.00	\$0.00
	09/20/2018	1L Dividend	90.000 RIO TINTO LTD	183 (CASH DIVIDEND)	\$111.82	\$0.00
	09/24/2018	1L Dividend	9.000 BLACKROCK INC	183 (CASH DIVIDEND)	\$28.17	\$0.00
	09/28/2018	1L Dividend	75.000 PEPSCO INC	183 (CASH DIVIDEND)	\$69.56	\$0.00
			85.000 AMEREN CORP	183 (CASH DIVIDEND)	\$38.89	\$0.00
			100.000 FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$32.00	\$0.00
			140.000 FORTIVE CO	183 (CASH DIVIDEND)	\$9.80	\$0.00
	10/01/2018	1L Dividend	135.000 BAXTER INTERNATIONAL INC	183 (CASH DIVIDEND)	\$25.55	\$0.00
			110.000 NIKE INC CL B	183 (CASH DIVIDEND)	\$22.00	\$0.00
	10/02/2018	1L Dividend	0.000 FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$18.90	\$0.00
	10/04/2018	2# Money mkt fund sale	(309.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$309.00	\$0.00
	10/09/2018	2# Money mkt fund sale	(869.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$869.00	\$0.00
		2S Sale	(20.000) FORTIVE CO @ \$83.890	263 (SALE CASH SETTLEMENT)	\$1,677.17	\$0.00
			(10.000) DANAHER CORP @ \$106.953	263 (SALE CASH SETTLEMENT)	\$1,069.12	\$0.00
	10/10/2018	1L Dividend	140.000 ALTRIA GROUP INC	183 (CASH DIVIDEND)	\$112.00	\$0.00
	10/12/2018	1L Dividend	64.000 CHUBB LIMITED	183 (CASH DIVIDEND)	\$46.72	\$0.00
			25.000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$4.00	\$0.00
	10/15/2018	1L Dividend	45.000 THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$7.65	\$0.00
		2# Money mkt fund sale	(5,392.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,392.00	\$0.00
	10/18/2018	2# Money mkt fund sale	(1,791.000) FED TRUST UST OBLIG #59 @ \$1.000	227 (SWEEP REDEMPTION)	\$1,791.00	\$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

Additions		Units Description		D\$ Acct		Principal Acct	
USD							
10/18/2018	2S Sale	(20,000)	ZOETIS INC @\$91.753	263 (SALE CASH SETTLEMENT)	\$1,834.23	\$0.00	
10/26/2018	1L Dividend	(20,000)	FORTIVE CO @\$79.687	263 (SALE CASH SETTLEMENT)	\$1,592.92	\$0.00	
10/26/2018	1L Dividend	110,000	DANAHER CORP	183 (CASH DIVIDEND)	\$17.60	\$0.00	
	2# Money mkt fund sale	(2,036,000)	FED TRUST UST OBLIG #59 @\$1,000	227 (SWEEP REDEMPTION)	\$2,036.00	\$0.00	
	2S Sale	(40,000)	ALEXION PHARMACEUTICAL @\$113.512	263 (SALE CASH SETTLEMENT)	\$4,538.82	\$0.00	
10/29/2018	2S Sale	(70,000)	DOW DU PONT INC @\$53.020	263 (SALE CASH SETTLEMENT)	\$3,708.57	\$0.00	
10/30/2018	2S Sale	(80,000)	DOW DU PONT INC @\$52.127	263 (SALE CASH SETTLEMENT)	\$4,166.94	\$0.00	
11/02/2018	1L Dividend	0,000	FED TRUST UST OBLIG #59	183 (CASH DIVIDEND)	\$10.47	\$0.00	
11/06/2018	1T Class action	0,000	CLASS ACTION PROCEEDS To receive pro-rata distribution from BNYM securities litigation net settlement fund for closed account. Taxability to be reflected as long term capital gains.	5058 (CLASS ACTION SETTLEMENTS)	\$1.56	\$0.00	
	2# Money mkt fund sale	(277,000)	FED TRUST UST OBLIG #59 @\$1,000	227 (SWEEP REDEMPTION)	\$277.00	\$0.00	
11/08/2018	1L Dividend	45,000	RAYTHEON CO NEW	183 (CASH DIVIDEND)	\$39.04	\$0.00	
11/14/2018	2S Sale	(2,000)	AMAZON.COM INC @\$1647.237	263 (SALE CASH SETTLEMENT)	\$3,294.35	\$0.00	
		(20,000)	CHURCH & DWIGHT INC @\$66.524	263 (SALE CASH SETTLEMENT)	\$1,329.67	\$0.00	
		(10,000)	MICROSOFT CORP @\$106.943	263 (SALE CASH SETTLEMENT)	\$1,069.02	\$0.00	
11/15/2018	1L Dividend	185,000	MORGAN STANLEY GRP INC	183 (CASH DIVIDEND)	\$55.50	\$0.00	
		70,000	APPLE INC	183 (CASH DIVIDEND)	\$51.10	\$0.00	
	2# Money mkt fund sale	(5,294,000)	FED TRUST UST OBLIG #59 @\$1,000	227 (SWEEP REDEMPTION)	\$5,294.00	\$0.00	

# Cash Transaction Detail

Report dated March 15, 2019

Page 15 of 30

KURT SCHRADER IM

All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	SD-P	11/16/2018	2S	Sale	(15,000)	APPLE INC	@\$187.736	263 (SALE CASH SETTLEMENT)	\$2,815.49	\$0.00
11/21/2018	1L	Dividend			130,000	CITIGROUP INC		183 (CASH DIVIDEND)	\$58.50	\$0.00
12/03/2018	1L	Dividend			210,000	PFIZER INC		183 (CASH DIVIDEND)	\$71.40	\$0.00
					165,000	CONOCOPHILLIPS		183 (CASH DIVIDEND)	\$50.33	\$0.00
					155,000	CHURCH & DWIGHT INC		183 (CASH DIVIDEND)	\$33.71	\$0.00
					105,000	ZOETIS INC		183 (CASH DIVIDEND)	\$13.23	\$0.00
12/04/2018	1L	Dividend			36,928.875	OW MUNICIPAL BOND FUND		183 (CASH DIVIDEND)	\$1,693.93	\$0.00
					75,000	AMERICAN WATER WORKS CO		183 (CASH DIVIDEND)	\$34.13	\$0.00
					75,000	AMERICAN WATER WORKS CO		183 (CASH DIVIDEND)	\$34.13	\$0.00
					75,000	AMERICAN WATER WORKS CO		183 (CASH DIVIDEND)	\$34.13	\$0.00
					75,000	AMERICAN WATER WORKS CO		183 (CASH DIVIDEND)	\$34.13	\$0.00
					85,000	VISA INC		183 (CASH DIVIDEND)	\$21.25	\$0.00
					0,000	FED TRUST UST OBLIG #59		183 (CASH DIVIDEND)	\$9.52	\$0.00
12/06/2018	1L	Dividend			140,000	DISCOVER FINANCIAL SVCS		183 (CASH DIVIDEND)	\$56.00	\$0.00
	2#	Money mkt fund sale			(224,000)	FED TRUST UST OBLIG #59	@\$1,000	227 (SWEEP REDEMPTION)	\$224.00	\$0.00
12/07/2018	1L	Dividend			45,000	CINTAS CORP		183 (CASH DIVIDEND)	\$92.25	\$0.00
12/10/2018	2S	Sale			(1,638,298)	OW MUNICIPAL BOND FUND	@\$11.750	263 (SALE CASH SETTLEMENT)	\$19,250.00	\$0.00
					(1,020,078)	OW SMALL & MIDCAP STRAT FD	@\$15.440	263 (SALE CASH SETTLEMENT)	\$15,750.00	\$0.00
	3C	Transfer			0,000	W. KURT SCHRADER CUSTODY TRANSFER FROM CUSTODY TO IM XI		9 (CASH TRANSFER (RECEIPT))	\$5,000.00	\$0.00
12/11/2018	2#	Money mkt fund sale			(25,000)	FED TRUST UST OBLIG #59	@\$1,000	227 (SWEEP REDEMPTION)	\$25.00	\$0.00
12/12/2018	1L	Dividend			45,604.914	OW LARGE CAP STRATEGIES FD		183 (CASH DIVIDEND)	\$6,299.41	\$0.00
	1P	Long term cap gain			45,604.914	OW LARGE CAP STRATEGIES FD		762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$20,516.28	\$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
 V. KURT SCHRAEDER IM  
 All transactions entered between 01/01/2018 and 12/31/2018

USD	Date	Description	Code	Balance
USD	12/13/2018	1L Dividend		
		170,000 MICROSOFT CORP	183 (CASH DIVIDEND)	\$78.20 \$0.00
		35,000 HOME DEPOT	183 (CASH DIVIDEND)	\$36.05 \$0.00
		25,000 UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$22.50 \$0.00
	12/14/2018	1L Dividend		
		520,000 KEYCORP NEW	183 (CASH DIVIDEND)	\$88.40 \$0.00
		(5,312,000) FED TRUST UST OBLIG #59	227 (SWEEP REDEMPTION)	\$5,312.00 \$0.00
		@\$1,000		
	12/21/2018	1L Dividend		
		14,397.115 OW SMALL & MIDCAP STRAT FD	183 (CASH DIVIDEND)	\$1,234.55 \$0.00
		14,397.115 OW SMALL & MIDCAP STRAT FD	762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$22,414.15 \$0.00
	12/24/2018	1L Dividend		
		29,987.912 OW STRATEGIC OPPTY FUND	183 (CASH DIVIDEND)	\$7,773.17 \$0.00
		29,987.912 OW STRATEGIC OPPTY FUND	762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$5,702.80 \$0.00
		2S Sale		
		(100,000) ALTRIA GROUP INC	263 (SALE CASH SETTLEMENT)	\$4,996.13 \$0.00
		@\$50,002		
	12/26/2018	1L Dividend		
		9,000 BLACKROCK INC	183 (CASH DIVIDEND)	\$28.17 \$0.00
		(40,000) ALTRIA GROUP INC	263 (SALE CASH SETTLEMENT)	\$1,966.16 \$0.00
		@\$49,195		
	12/27/2018	1L Dividend		
		25,000 CME GROUP INC	183 (CASH DIVIDEND)	(\$17.50) \$0.00
		REVERSAL OF TRANSACTION REPORTED ON 12/27/2018		
		25,000 CME GROUP INC	183 (CASH DIVIDEND)	\$17.50 \$0.00
		25,000 CME GROUP INC	183 (CASH DIVIDEND)	\$17.50 \$0.00
	12/28/2018	1L Dividend		
		100,000 FIDELITY NATL INFO SVCS	183 (CASH DIVIDEND)	\$32.00 \$0.00
		100,000 FORTIVE CO	183 (CASH DIVIDEND)	\$7.00 \$0.00
		100,000 FORTIVE CO	183 (CASH DIVIDEND)	\$7.00 \$0.00
		100,000 FORTIVE CO	183 (CASH DIVIDEND)	\$7.00 \$0.00
		REVERSAL OF TRANSACTION REPORTED ON 12/28/2018		
	12/31/2018	1L Dividend		
		85,000 AMEREN CORP	183 (CASH DIVIDEND)	\$40.38 \$0.00
				\$0.00

Total additions USD



# Cash Transaction Detail

Report dated March 15, 2019

Page 17 of 30

KURT SCHRADER IM

All transactions entered between 01/01/2018 and 12/31/2018

## Subtractions

EUR	07/13/2018 5P Purchase	5,000	SAFRAN SA @\$120.866	262 (PURCHASE CASH SETTLEMENT)	(606.87)	0.00
	07/16/2018 5P Purchase	10,000	SAFRAN SA @\$123.497	262 (PURCHASE CASH SETTLEMENT)	(1,240.16)	0.00
	07/17/2018 5P Purchase	10,000	SAFRAN SA @\$125.496	262 (PURCHASE CASH SETTLEMENT)	(1,259.97)	0.00
	07/18/2018 5P Purchase	45,000	SAFRAN SA @\$125.525	262 (PURCHASE CASH SETTLEMENT)	(5,672.34)	0.00
Total subtractions EUR						
USD	01/02/2018 5# Money mkt fund purch	2,117,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$2,117.00)	\$0.00
	01/03/2018 5# Money mkt fund purch	14,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$14.00)	\$0.00
	01/05/2018 6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 12/31/17	5001 (CORE SERVICES FEE)	(\$312.53)	\$0.00
	01/08/2018 5# Money mkt fund purch	73,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$73.00)	\$0.00
	01/10/2018 5# Money mkt fund purch	85,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$85.00)	\$0.00
	01/12/2018 6L Remittance & dep.	0.000	KEY BANK KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
	01/16/2018 5# Money mkt fund purch	8,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
	01/19/2018 5P Purchase	20,000	CINTAS CORP @\$162.177	262 (PURCHASE CASH SETTLEMENT)	(\$3,244.13)	\$0.00
	01/24/2018 5# Money mkt fund purch	37,000	FED TRUST UST OBLIG #59 @\$1,000	226 (SWEEP PURCHASE)	(\$37.00)	\$0.00
	01/26/2018 5P Purchase	50,000	ZOETIS INC @\$78.360	262 (PURCHASE CASH SETTLEMENT)	(\$3,919.48)	\$0.00
		10,000	CINTAS CORP @\$164.940	262 (PURCHASE CASH SETTLEMENT)	(\$1,649.70)	\$0.00

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Subtractions

USD	01/29/2018	5# Money mkt fund purch	4,235.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$4,235.00)	\$0.00
			@\$1.000				
	01/30/2018	5# Money mkt fund purch	4,738.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$4,738.00)	\$0.00
			@\$1.000				
	02/01/2018	5# Money mkt fund purch	73.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$73.00)	\$0.00
			@\$1.000				
	02/02/2018	5# Money mkt fund purch	20.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$20.00)	\$0.00
			@\$1.000				
	02/06/2018	6l Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 01/31/18	5001 (CORE SERVICES FEE)	(\$327.88)	\$0.00
	02/08/2018	5P Purchase	30.000	PAYPAL HOLDINGS INC	262 (PURCHASE CASH SETTLEMENT)	(\$2,243.15)	\$0.00
			@\$74.732				
	02/15/2018	6L Remittance & dep.	0.000	KEY BANK /N/O KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
	02/16/2018	5# Money mkt fund purch	100,000.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$100,000.00)	\$0.00
			@\$1.000				
	02/20/2018	5P Purchase	2,658.003	OW SMALL & MIDCAP STRAT FD	262 (PURCHASE CASH SETTLEMENT)	(\$45,000.00)	\$0.00
			@\$16.930				
			2,810.903	OW MUNICIPAL BOND FUND	262 (PURCHASE CASH SETTLEMENT)	(\$33,000.00)	\$0.00
			@\$11.740				
			1,030.534	OW STRATEGIC OPTYS FUND	262 (PURCHASE CASH SETTLEMENT)	(\$8,100.00)	\$0.00
			@\$7.860				
	02/23/2018	5# Money mkt fund purch	60.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$60.00)	\$0.00
			@\$1.000				
	03/01/2018	5# Money mkt fund purch	658.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$658.00)	\$0.00
			@\$1.000				
		5P Purchase	10.000	CINTAS CORP	262 (PURCHASE CASH SETTLEMENT)	(\$1,711.56)	\$0.00
			@\$171.116				
			10.000	AMERICAN WATER WORKS CO	262 (PURCHASE CASH SETTLEMENT)	(\$801.04)	\$0.00
			@\$80.074				
			10.000	ALTRIA GROUP INC	262 (PURCHASE CASH SETTLEMENT)	(\$642.28)	\$0.00
			@\$64.188				

# Cash Transaction Detail

Report dated March 15, 2019  
 KURT SCHRAEDER IM  
 All transactions entered between 01/01/2018 and 12/31/2018

Subtractions

USD	03/02/2018 5# Money mkt fund purch	36.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$26.00)	\$0.00
	03/06/2018 6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 02/28/18	5001 (CORE SERVICES FEE)	(\$325.98)	\$0.00
	03/08/2018 5P Purchase	35.000	SALESFORCE COM @ \$124.307	262 (PURCHASE CASH SETTLEMENT)	(\$4,352.14)	\$0.00
		20.000	SERVICENOW INC @ \$170.938	262 (PURCHASE CASH SETTLEMENT)	(\$3,419.56)	\$0.00
	03/09/2018 5# Money mkt fund purch	3,934.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$3,934.00)	\$0.00
	03/15/2018 6L Remittance & dep.	0.000	KEY BANK /N/O KURT SCHRAEDER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
	03/22/2018 5# Money mkt fund purch	41.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$41.00)	\$0.00
	03/26/2018 6Z Withholding Tax	515.000	NORDEA BANK AB	183 (CASH DIVIDEND)	(\$129.67)	\$0.00
			INCLUDES WITHHOLDING TAX OF \$129.67			
	03/27/2018 5# Money mkt fund purch	303.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$303.00)	\$0.00
	03/29/2018 5# Money mkt fund purch	12.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$12.00)	\$0.00
	6Z Withholding Tax	235.000	ENCANA CORP	183 (CASH DIVIDEND)	(\$0.89)	\$0.00
			INCLUDES WITHHOLDING TAX OF \$89			
	04/02/2018 5# Money mkt fund purch	209.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$209.00)	\$0.00
	04/03/2018 5# Money mkt fund purch	34.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$34.00)	\$0.00
	04/05/2018 6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 03/31/18	5001 (CORE SERVICES FEE)	(\$315.53)	\$0.00
	Z4 Security deliver	(38.000)	BROADCOM LTD MERGER	150 (MERGER)	\$0.00	\$0.00

# Cash Transaction Detail

## Subtractions

USD	04/09/2018	SP Purchase	30.000	NIKE INC CL B	262 (PURCHASE CASH SETTLEMENT)	(\$2,082.92)	\$0.00
			@\$69.391				
			20.000	AMERICAN WATER WORKS CO	262 (PURCHASE CASH SETTLEMENT)	(\$1,631.92)	\$0.00
			@\$81.556				
	04/10/2018	42 Cash disbursement	0.000	KURT SCHRADER	7 (MISCELLANEOUS DISBURSEMENT)	(\$50,000.00)	\$0.00
				KEYBANK NATIONAL ASSOCIATION PER EMAIL AND VERBAL REQUEST DISBURSEMENT			
				FROM CLIENT XI			
			0.000	EXPENSE REIMBURSEMENT	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00)	\$0.00
				WIRE TRANSFER XI			
	04/11/2018	5# Money mkt fund purch	15,771.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$15,771.00)	\$0.00
			@\$1.000				
	04/12/2018	5# Money mkt fund purch	1,074.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,074.00)	\$0.00
			@\$1.000				
	04/13/2018	6L Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
				N/A N/O KURT SCHRADER MONTHLY WITHDRAWAL			
	04/16/2018	5# Money mkt fund purch	713.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$713.00)	\$0.00
			@\$1.000				
	04/17/2018	5# Money mkt fund purch	354.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$354.00)	\$0.00
			@\$1.000				
	04/19/2018	5# Money mkt fund purch	191.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$191.00)	\$0.00
			@\$1.000				
		5P Purchase	10.000	SERVICENDW INC	262 (PURCHASE CASH SETTLEMENT)	(\$1,742.71)	\$0.00
			@\$174.241				
			10.000	SALESFORCE COM	262 (PURCHASE CASH SETTLEMENT)	(\$1,226.70)	\$0.00
			@\$122.630				
	04/20/2018	5# Money mkt fund purch	49.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$49.00)	\$0.00
			@\$1.000				
	04/25/2018	5# Money mkt fund purch	25.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$25.00)	\$0.00
			@\$1.000				
	04/27/2018	5# Money mkt fund purch	21.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$21.00)	\$0.00
			@\$1.000				

# Cash Transaction Detail

## Subtractions

USD	50-P	05/01/2018	5# Money mkt fund purch	26.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$26.00)	\$0.00
				@\$1.000				
	05/02/2018	5# Money mkt fund purch	32.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$32.00)	\$0.00	
			@\$1.000					
	05/04/2018	6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 04/30/18	5001 (CORE SERVICES FEE)	(\$300.14)	\$0.00	
	05/07/2018	5# Money mkt fund purch	4,558.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$4,558.00)	\$0.00	
			@\$1.000					
		5P Purchase	5.000	CINTAS CORP	262 (PURCHASE CASH SETTLEMENT)	(\$859.76)	\$0.00	
			@\$171.911					
	05/09/2018	5P Purchase	10.000	FIDELITY NATL INFO SVCS	262 (PURCHASE CASH SETTLEMENT)	(\$1,017.37)	\$0.00	
			@\$101.697					
	05/10/2018	5# Money mkt fund purch	34.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$34.00)	\$0.00	
			@\$1.000					
	05/15/2018	6L Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
				N/O KURT SCHRADER MONTHLY WITHDRAWAL				
	05/17/2018	5# Money mkt fund purch	51.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$51.00)	\$0.00	
			@\$1.000					
	05/18/2018	5# Money mkt fund purch	1,083.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,083.00)	\$0.00	
			@\$1.000					
	05/21/2018	5P Purchase	70.000	ENERGY SELECT SECTOR	262 (PURCHASE CASH SETTLEMENT)	(\$5,505.16)	\$0.00	
			@\$78.605					
	05/22/2018	4Z Cash disbursement	35.000	ALIBABA GROUP HOLDINGS LTD	5139 (ADR EXPENSE)	(\$0.70)	\$0.00	
				ADR Depository Charge				
		5# Money mkt fund purch	1,570.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,570.00)	\$0.00	
			@\$1.000					
		5P Purchase	40.000	ENERGY SELECT SECTOR	262 (PURCHASE CASH SETTLEMENT)	(\$3,130.37)	\$0.00	
			@\$78.219					
	05/23/2018	5# Money mkt fund purch	540.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$540.00)	\$0.00	
			@\$1.000					
	05/25/2018	5# Money mkt fund purch	2,271.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$2,271.00)	\$0.00	
			@\$1.000					

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Subtractions

USD	05/29/2018 5P Purchase	6.000	BLACKROCK INC	262 (PURCHASE CASH SETTLEMENT)	(\$3,229.29)	\$0.00
		@\$538.176				
	06/01/2018 5# Money mkt fund purch	149.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$149.00)	\$0.00
		@\$1.000				
	06/04/2018 5# Money mkt fund purch	35.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$35.00)	\$0.00
		@\$1.000				
	06/05/2018 4Z Cash disbursement	0.000	KURT SCHRADER	7 (MISCELLANEOUS DISBURSEMENT)	(\$50,000.00)	\$0.00
			KEYBANK NATIONAL ASSOCIATION PER REQUEST 6/4/18 XI			
		0.000	EXPENSE REIMBURSEMENT	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00)	\$0.00
			WIRE TRANSFER XI			
	06/06/2018 6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 05/31/18	5001 (CORE SERVICES FEE)	(\$302.60)	\$0.00
	06/07/2018 5# Money mkt fund purch	49.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$49.00)	\$0.00
		@\$1.000				
	06/12/2018 5# Money mkt fund purch	1,514.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,514.00)	\$0.00
		@\$1.000				
	06/14/2018 5# Money mkt fund purch	116.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$116.00)	\$0.00
		@\$1.000				
	06/15/2018 6L Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
			N/O KURT SCHRADER MONTHLY WITHDRAWAL			
	06/20/2018 5# Money mkt fund purch	56.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$56.00)	\$0.00
		@\$1.000				
	06/21/2018 5# Money mkt fund purch	17.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$17.00)	\$0.00
		@\$1.000				
	06/26/2018 5P Purchase	25.000	PIONEER NATURAL RESOURCES	262 (PURCHASE CASH SETTLEMENT)	(\$4,637.89)	\$0.00
		@\$185.485				
		15.000	ALEXION PHARMACEUTICAL	262 (PURCHASE CASH SETTLEMENT)	(\$1,914.62)	\$0.00
		@\$127.601				
	06/27/2018 5# Money mkt fund purch	2,210.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$2,210.00)	\$0.00
		@\$1.000				
	06/29/2018 5# Money mkt fund purch	170.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$170.00)	\$0.00
		@\$1.000				

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

Period: 01/01/2018 - 12/31/2018      US      Description      DS code      Principal Income

## Subtractions

USD	07/02/2018	5# Money mkt fund purch	25.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$25.00)	\$0.00
			@\$1.000				
	07/03/2018	5# Money mkt fund purch	27.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$27.00)	\$0.00
			@\$1.000				
	07/05/2018	5# Money mkt fund purch	28.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$28.00)	\$0.00
			@\$1.000				
	07/06/2018	6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 06/30/18	5001 (CORE SERVICES FEE)	(\$29.95)	\$0.00
	07/10/2018	5# Money mkt fund purch	98.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$98.00)	\$0.00
			@\$1.000				
	07/13/2018	6I Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
				INVO KURT SCHRADER MONTHLY WITHDRAWAL			
	07/17/2018	5# Money mkt fund purch	2,709.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$2,709.00)	\$0.00
			@\$1.000				
		5P Purchase	40.000	ACTIVISION BLIZZARD INC	262 (PURCHASE CASH SETTLEMENT)	(\$3,260.46)	\$0.00
			@\$81.482				
			3.000	BLACKROCK INC	262 (PURCHASE CASH SETTLEMENT)	(\$1,521.71)	\$0.00
			@\$507.197				
			5.000	RAYTHEON CO NEW	262 (PURCHASE CASH SETTLEMENT)	(\$997.65)	\$0.00
			@\$199.490				
	07/27/2018	5# Money mkt fund purch	21.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$21.00)	\$0.00
			@\$1.000				
	08/02/2018	5# Money mkt fund purch	58.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$58.00)	\$0.00
			@\$1.000				
	08/06/2018	6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 07/31/18	5001 (CORE SERVICES FEE)	(\$302.55)	\$0.00
	08/15/2018	6I Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
				INVO KURT SCHRADER MONTHLY WITHDRAWAL			
	08/16/2018	5# Money mkt fund purch	51.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$51.00)	\$0.00
			@\$1.000				
	08/23/2018	4Z Cash disbursement	0.000	KURT SCHRADER	7 (MISCELLANEOUS DISBURSEMENT)	(\$20,000.00)	\$0.00
				KEYBANK NATIONAL ASSOCIATION PER REQUEST 8/22/18 XI			

# Cash Transaction Detail

Subtractions	USD	0-P	08/23/2018	4Z	Cash disbursement	0.000	EXPENSE REIMBURSEMENT	7 (MISCELLANEOUS DISBURSEMENT)	(\$25.00)	\$0.00
					WIRE TRANSFER XI					
					5# Money mkt fund purch	10,000.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$10,000.00)	\$0.00
					08/24/2018 5# Money mkt fund purch	33.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$33.00)	\$0.00
					08/27/2018 5# Money mkt fund purch	1,957.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$1,957.00)	\$0.00
					5P Purchase	60.000	PEIZER INC @ \$42.101	262 (PURCHASE CASH SETTLEMENT)	(\$2,527.87)	\$0.00
					08/28/2018 5P Purchase	60.000	PEIZER INC @ \$42.239	262 (PURCHASE CASH SETTLEMENT)	(\$2,536.71)	\$0.00
					08/31/2018 6Y Other financial services	0.000	FEE ON SPECIAL REPORTS PRODUCED FOR TAX PREP PURPOSES FOR TAX YR 2017	5076 (SPECIAL TAX REPORTS FEE)	(\$250.00)	\$0.00
					09/04/2018 5# Money mkt fund purch	169.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$169.00)	\$0.00
					09/05/2018 5# Money mkt fund purch	21.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$21.00)	\$0.00
					09/06/2018 5# Money mkt fund purch	56.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$56.00)	\$0.00
					09/07/2018 5P Purchase	86.000	AMEREN CORP @ \$64.828	262 (PURCHASE CASH SETTLEMENT)	(\$5,513.76)	\$0.00
						90.000	PEIZER INC @ \$41.848	262 (PURCHASE CASH SETTLEMENT)	(\$3,769.93)	\$0.00
					6I Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 08/31/18	5001 (CORE SERVICES FEE)	(\$311.42)	\$0.00
					09/10/2018 5# Money mkt fund purch	2,681.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$2,681.00)	\$0.00
					09/11/2018 5# Money mkt fund purch	1,662.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$1,662.00)	\$0.00
					09/13/2018 5# Money mkt fund purch	115.000	FED TRUST UST OBLIG #59 @ \$1.000	226 (SWEEP PURCHASE)	(\$115.00)	\$0.00



# Cash Transaction Detail

## Subtractions

USD	SD-P	09/14/2018	6L	Remittance & dep.	0.000	KEY BANK	N/O KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
	09/21/2018	5#	Money mkt fund purch	112.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$112.00)	\$0.00
	09/24/2018	5#	Money mkt fund purch	28.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$28.00)	\$0.00
	09/28/2018	5#	Money mkt fund purch	150.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$150.00)	\$0.00
	10/01/2018	5#	Money mkt fund purch	48.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$48.00)	\$0.00
	10/02/2018	5#	Money mkt fund purch	19.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$19.00)	\$0.00
	10/04/2018	6I	Fee/Commission	0.000	INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 09/30/18			5001 (CORE SERVICES FEE)	(\$308.96)	\$0.00
	10/09/2018	5P	Purchase	20.000	CME GROUP INC	@\$180.689		262 (PURCHASE CASH SETTLEMENT)	(\$3,614.58)	\$0.00
	10/10/2018	5#	Money mkt fund purch	112.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$112.00)	\$0.00
	10/12/2018	5#	Money mkt fund purch	51.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$51.00)	\$0.00
	10/15/2018	6L	Remittance & dep.	0.000	KEY BANK		N/O KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
	10/18/2018	5P	Purchase	45.000	DISNEY (WALT) HOLDING CO	@\$115.928		262 (PURCHASE CASH SETTLEMENT)	(\$5,218.11)	\$0.00
	10/26/2018	5P	Purchase	25.000	UNITEDHEALTH GROUP INC	@\$263.637		262 (PURCHASE CASH SETTLEMENT)	(\$6,591.93)	\$0.00
	10/29/2018	5#	Money mkt fund purch	3,709.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$3,709.00)	\$0.00
	10/30/2018	5#	Money mkt fund purch	4,167.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$4,167.00)	\$0.00
	11/02/2018	5#	Money mkt fund purch	10.000	FED TRUST UST OBLIG #59	@\$1.000		226 (SWEEP PURCHASE)	(\$10.00)	\$0.00



# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Subtractions

USD	SD-P	12/10/2018	5# Money mkt fund purch	14,000.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$14,000.00)	\$0.00
				@\$1,000				
	12/12/2018	5# Money mkt fund purch	26,815.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$26,815.00)	\$0.00	
			@\$1,000					
	12/13/2018	5# Money mkt fund purch	137.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$137.00)	\$0.00	
			@\$1,000					
	12/14/2018	6L Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
				AND KURT SCHRADER MONTHLY WITHDRAWAL				
	12/21/2018	5# Money mkt fund purch	23,649.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$23,649.00)	\$0.00	
			@\$1,000					
	12/24/2018	5# Money mkt fund purch	12,391.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$12,391.00)	\$0.00	
			@\$1,000					
	SP Purchase		35.000	WASTE MANAGEMENT INC NEW	262 (PURCHASE CASH SETTLEMENT)	(\$3,062.93)	\$0.00	
			@\$87.472					
			10.000	American Tower Corp	262 (PURCHASE CASH SETTLEMENT)	(\$1,615.96)	\$0.00	
			@\$161.566					
			30.000	ACTIVISION BLIZZARD INC	262 (PURCHASE CASH SETTLEMENT)	(\$1,401.82)	\$0.00	
			@\$46.687					
	12/26/2018	5# Money mkt fund purch	1,995.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$1,995.00)	\$0.00	
			@\$1,000					
	12/27/2018	5# Money mkt fund purch	17.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$17.00)	\$0.00	
			@\$1,000					
	12/28/2018	5# Money mkt fund purch	39.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$39.00)	\$0.00	
			@\$1,000					
	12/31/2018	5# Money mkt fund purch	41.000	FED TRUST UST OBLIG #59	226 (SWEEP PURCHASE)	(\$41.00)	\$0.00	
			@\$1,000					
Total subtractions USD								\$0.00

# Cash Transaction Detail

Report dated March 15, 2019

Page 28 of 30

KURT SCHRADER CUSTODY

All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD	USD-P	01/02/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 12/01/2017 TO 12/31/2017	5050 (INTEREST ON BESSEMER SWEEP)	\$1.22	\$0.00
01/16/2018	11	Class action	0.000	CLASS ACTION PROCEEDS	5058 (CLASS ACTION SETTLEMENTS)	\$0.11	\$0.00		
To receive pro-rata distribution from BNYM securities litigation net settlement fund for closed account. Taxability to be reflected as long term capital gains.									
02/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 01/01/2018 TO 01/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$0.62	\$0.00		
03/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 02/01/2018 TO 02/28/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$0.56	\$0.00		
1L Dividend									
04/02/2018	11	Interest	2,903.000	PFIZER INC	183 (CASH DIVIDEND)	\$987.02	\$0.00		
05/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 03/01/2018 TO 03/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$1.28	\$0.00		
05/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 04/01/2018 TO 04/30/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$1.50	\$0.00		
06/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 05/01/2018 TO 05/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$1.55	\$0.00		
1L Dividend									
07/02/2018	11	Interest	2,903.000	PFIZER INC	183 (CASH DIVIDEND)	\$987.02	\$0.00		
07/02/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 06/01/2018 TO 06/30/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$2.48	\$0.00		
08/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 07/01/2018 TO 07/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$3.10	\$0.00		
09/04/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 08/01/2018 TO 08/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$3.10	\$0.00		
1L Dividend									
10/01/2018	11	Interest	2,903.000	PFIZER INC	183 (CASH DIVIDEND)	\$987.02	\$0.00		
10/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 09/01/2018 TO 09/30/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$3.85	\$0.00		
11/01/2018	11	Interest	0.000	INTEREST ON BESSEMER SWEEP FOR PERIOD 10/01/2018 TO 10/31/2018	5050 (INTEREST ON BESSEMER SWEEP)	\$4.34	\$0.00		

## Page 29 of 30

**B  
BESSEMER  
TRUST**

# Cash Transaction Detail

## Subtractions

USD	USD-P 12/27/2018	JD Security gift/donation							
	(60.000)	PFIZER INC	271 (GIFT (SECURITY))	\$0.00	\$0.00				
		GIFT TO Travis Farnsworth							
	(120.000)	PFIZER INC	271 (GIFT (SECURITY))	\$0.00	\$0.00				
		GIFT TO Ryan Schrader							
	(120.000)	PFIZER INC	271 (GIFT (SECURITY))	\$0.00	\$0.00				
		GIFT TO Steven Schrader							
	(120.000)	PFIZER INC	271 (GIFT (SECURITY))	\$0.00	\$0.00				
		GIFT TO Clare Schrader							
	(120.000)	PFIZER INC	271 (GIFT (SECURITY))	\$0.00	\$0.00				
		GIFT TO Maren Schrader							
Total subtractions USD									

## All Activity

Single Activity Type: Security Transactions

98 Items - Custom - From 1/1/2018 to 12/31/2018 as of Close of Business 3/15/2019

Total Count: [REDACTED]  
 Total Amount: [REDACTED]  
 Pending Count: [REDACTED]  
 Pending Amount: [REDACTED]  
 Settled Count: [REDACTED]  
 Settled Amount: [REDACTED]



Account / Nickname	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security #	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
[REDACTED]	12/29/2017	01/03/2018	P	Purchase WSTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WDC	81665	958102105	1	000	0		50	79.7547	(3,987.74)
[REDACTED]			P	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMOUNT \$245.77 REINV PRICE \$118.23300 QUANTITY BDT 2.0787	PEP	60739	713448108	1	671			2.0787		0.00
[REDACTED]	01/10/2018	01/12/2018	S	Sale ISHARES RUSSELL 3000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	IWV	310K2	464287689	1		0		(130)	161.8636	21,041.78
[REDACTED]	01/10/2018	01/12/2018	P	Purchase WSTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WDC	81665	958102105	2	000	0		100	79.29	(7,929.00)
[REDACTED]	01/10/2018	01/11/2018	P	Purchase WESTERN ASSET MANAGED MUNICIPALS FD 1 FRAC SHR QUANTITY .125 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	SHMYX	97561	524693509	4	000	0		307.1250	16.28	(5,000.00)
[REDACTED]	01/10/2018	01/11/2018	P	Purchase OPPERHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .517 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	ODVYX	9LXH0	683974505	2	000	0		224.5170	44.54	(9,999.99)
[REDACTED]	01/10/2018	01/11/2018	P	Purchase JP MORGAN STRATEGIC INCOME OFF FUND CL 1 FRAC SHR QUANTITY .923 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	JSOSX	9PP26	481244351	3	000	0		429.9230	11.63	(5,000.00)
[REDACTED]	01/11/2018	01/16/2018	S	Sale DELTA AIR LINES INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DAL	23CL0	247361702	3		0		(150)	58.00	8,699.80
[REDACTED]	01/26/2018	01/30/2018	S	Sale COCA COLA COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	KO	18320	191216100	1		0		(200)	48.3145	9,662.68
[REDACTED]	01/26/2018	01/30/2018	S	Sale VANGUARD GROWTH ETF EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VUG	31R44	922908736	2		0		(153)	151.6001	23,194.28
[REDACTED]	01/26/2018	01/29/2018	P	Purchase ASG MANAGED FUTURES STR FD CL Y FRAC SHR QUANTITY .059 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	ASIFYX	9K8V4	638727729	1	000	0		1,531.0590	11.43	(17,500.00)

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Product:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: [REDACTED] CHA\*

Report Created 12:19:12PM, March 18, 2019 for SCHRADER, WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

01/26/2018	01/29/2018	Purchase	OPPENHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .539 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	ODVYX	9LXH0	683974505	2	000	0	318.5390	47.09	(15,000.00)
01/31/2018	02/02/2018	Sale	ISHARES RUSSELL 3000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	IWV	31CK2	464287689	1	0	0	(15)	166.411	2,496.11
01/31/2018	02/01/2018	Purchase	ASG MANAGED FUTURES STR FD CL Y FRAC SHR QUANTITY .014 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	ASFYX	9K8V4	63872729	6	000	0	224.0140	11.16	(2,500.00)
02/01/2018	02/05/2018	Sale	VERIZON COMMUNICATNS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ	79806	92343V104	1	0	0	(100)	54.50	5,449.87
02/07/2018	02/08/2018	Purchase	ASG MANAGED FUTURES STR FD CL Y FRAC SHR QUANTITY .493 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	ASFYX	9K8V4	63872729	1	000	0	147.4930	10.17	(1,500.00)
03/09/2018	03/09/2018	Reinvestment Share(s)	MICROSOFT CORP PRINCIPAL REINV AMOUNT \$308.84 REINV PRICE \$95.33300 QUANTITY BOT 3.2396	MSFT	49522	594918104	3	671	0	3.2396		0.00
03/12/2018	03/14/2018	Sale	WESTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WDC	81665	958102105	1	0	0	(50)	100.00	4,999.88
03/12/2018	03/12/2018	Reinvestment Share(s)	EXXON MOBIL CORP COM PRINCIPAL REINV AMOUNT \$274.81 REINV PRICE \$75.14400 QUANTITY BOT 3.6571	XOM	257D4	30231G102	2	671	0	3.6571		0.00
03/13/2018	03/15/2018	Sale	WESTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WDC	81665	958102105	1	0	0	(50)	100.00	5,299.88
03/14/2018	03/14/2018	Reinvestment Share(s)	JOHNSON AND JOHNSON COM PRINCIPAL REINV AMOUNT \$232.30 REINV PRICE \$135.33100 QUANTITY BOT 1.7165	JNJ	40853	478160104	2	671	0	1.7165		0.00
03/23/2018	03/23/2018	Reinvestment Share(s)	BLACKROCK INC PRINCIPAL REINV AMOUNT \$350.31 REINV PRICE \$542.19000 QUANTITY BOT .6461	BLK	08DL2	09247X101	2	671	0	0.6461		0.00
04/03/2018	04/03/2018	Reinvestment Share(s)	PEPSICO INC PRINCIPAL REINV AMOUNT \$247.44 REINV PRICE \$107.39000 QUANTITY BOT 2.3041	PEP	60739	713448108	5	671	0	2.3041		0.00
04/06/2018	04/09/2018	Sale	THE OAKMARK INTL FUND INV CL FRAC SHR QUANTITY .116 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	9EHP3	413838202	1	0	0	(649.1160)	27.73	17,999.99
04/12/2018	04/12/2018	Reinvestment Share(s)	ASG MANAGED FUTURES STR FD CL Y AGENT REINV AMOUNT \$8.56 REINV PRICE \$9.88000 QUANTITY BOT .8660 AS OF 04/10	ASPYX	9K8V4	63872729	5	684	0	0.8660		0.00
04/12/2018	04/12/2018	Reinvestment Share(s)	ASG MANAGED FUTURES STR FD CL Y AGENT REINV AMOUNT \$215.37 REINV PRICE \$9.88000 QUANTITY BOT 21.7990 AS OF 04/10	ASPYX	9K8V4	63872729	6	684	0	21.7990		0.00

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: CMA\*

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values



██████████	04/26/2018	04/30/2018	📄	Purchase FIRST TR NASDAQ CYBERSECURITY ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CIBR	31CV7	33734X846	6	000	0	500	26.1369	(13,068.45)
██████████	04/26/2018	04/30/2018	📄	Sale FACEBOOK INC CLASS A COMMON STOCK VSP 11/10/16 100.0000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FB	29157	30303M102	5		0	(100)	173.52604	17,352.20
██████████	04/26/2018	04/30/2018	📄	Sale COCA COLA COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KO	18120	191216100	4		0	(500)	42.571	21,285.01
██████████	04/26/2018	04/27/2018	📄	Sale ASX MANAGED FUTURES STR FD CL Y FRAC SHR QUANTITY .231 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ASFX	9K8V4	63872T729	1		0	(1,925.2310)	9.84	18,944.27
██████████	04/26/2018	04/27/2018	📄	Purchase PIMCO JACOME FUND CL 12 FRAC SHR QUANTITY .446 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	PONPX	9PKY4	72201M719	2	000	0	826.4460	12.10	(10,000.00)
██████████	04/26/2018	04/27/2018	📄	Purchase JP MORGAN STRATEGIC INCOME OPF FUND CL 1 FRAC SHR QUANTITY .281 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	JSDSX	9PP26	4812A4351	3	000	0	86.2810	11.59	(1,000.00)
██████████	05/11/2018	05/15/2018	📄	Purchase VANECK VECTORS GOLD MINERS ETF PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GDV	39QPS	92189F106	1	000	0	100	22.9999	(2,299.99)
██████████	05/11/2018	05/15/2018	📄	Sale SEALED AIR CORP (NEW) EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SEE	672C8	81211K100	2		0	(200)	44.51	8,901.79
██████████	05/11/2018	05/15/2018	📄	Purchase VERIZON COMMUNICATIONS COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	3	000	0	100	48.7432	(4,874.32)
██████████	05/11/2018	05/15/2018	📄	Purchase WSTN DIGITAL CORP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WDC	81665	958102105	4	000	0	50	78.9899	(3,949.50)
██████████	06/04/2018	06/06/2018	📄	Sale BRISTOL-MYERS SQUIBB CO WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMJ	11011	110122108	1		0	(200)	52.30	10,459.86
██████████	06/04/2018	06/06/2018	📄	Sale DISNEY (WALT) CO COM STK WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DIS	23892	254687106	2		0	(100)	99.80	9,979.87
██████████	06/04/2018	06/06/2018	📄	Sale SEALED AIR CORP (NEW) EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SEE	672C8	81211K100	3		0	(200)	44.12	8,823.89
██████████	06/12/2018	06/12/2018	📄	Reinvestment Share(s) EXXON MOBIL CORP COM PRINCIPAL REINV AMOUNT \$295.65 REINV PRICE \$83.10000 QUANTITY BOT 3.5578	XOM	25704	30231G102	4	671		3.5578		0.00
██████████	06/13/2018	06/13/2018	📄	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMOUNT \$250.43 REINV PRICE \$123.18100 QUANTITY BOT 2.0330	JNJ	40853	478160104	1	671		2.0330		0.00
██████████	06/15/2018	06/15/2018	📄	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMOUNT \$310.20 REINV PRICE \$101.31500 QUANTITY BOT 3.0617	MSFT	49522	594918104	6	671		3.0617		0.00

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fennar &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

06/22/2018	06/22/2018	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMOUNT \$352.17 REINV PRICE \$529.90000 QUANTITY BOT .6646	BLK	080L2	09247X101	2	671	0.6646	0.00
07/02/2018	07/02/2018	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMOUNT \$287.23 REINV PRICE \$109.48800 QUANTITY BOT 2.6234	PEP	60739	713448108	7	671	2.6234	0.00
07/19/2018	07/23/2018	Sale ISHARES RUSSELL 3000 WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IWV	31CK2	464287689	1	0	(35)	166.8075 5,838.18
07/19/2018	07/23/2018	Sale QUALCOMM INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	QCOM	63487	747525103	2	0	(50)	59.2901 2,964.47
07/19/2018	07/23/2018	Sale VERIZON COMMUNICATNS COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	3	0	(50)	50.8101 2,540.48
07/27/2018	07/31/2018	Sale FACEBOOK INC CLASS A COMMON STOCK WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FB	29157	30303M102	4	0	(70)	173.6325 12,154.12
07/27/2018	07/31/2018	Purchase WSTN DIGITAL CORP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WDC	81665	958102105	7	000	100	71.24 (7,124.00)
07/27/2018	07/31/2018	Sale PEPSICO INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	60739	713448108	5	0	(10)	114.2285 1,142.28
07/27/2018	07/31/2018	Sale VERIZON COMMUNICATNS COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	6	0	(50)	52.04 2,601.97
08/22/2018	08/24/2018	Sale VERIZON COMMUNICATNS COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	5	0	(100)	54.19 5,418.93
08/22/2018	08/24/2018	Purchase ABEVIE INC SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ABEV	02FC0	00287Y109	1	000	200	97.6753 (19,535.06)
08/22/2018	08/24/2018	Sale INTL BUSINESS MACHINES CORP IBM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IBM	39050	459200101	3	0	(150)	145.30 21,794.72
08/22/2018	08/24/2018	Sale INTEL CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	INTC	38886	458140100	2	0	(100)	47.05 4,704.94
08/22/2018	08/24/2018	Sale MICROSOFT CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	49522	594918104	4	0	(91)	107.0842 9,744.53
09/11/2018	09/11/2018	Reinvestment Share(s) EXXON MOBIL CORP COM PRINCIPAL REINV AMOUNT \$208.57 REINV PRICE \$81.96000 QUANTITY BOT 3.6429	XOM	257D4	30231G102	4	671	3.6429	0.00
09/12/2018	09/12/2018	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMOUNT \$252.26 REINV PRICE \$138.91000 QUANTITY BOT 1.8160	JNJ	40853	478160104	1	671	1.8160	0.00
09/13/2018	09/17/2018	Sale INTEL CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	INTC	38886	458140100	2	0	(100)	45.495 4,549.44
09/13/2018	09/17/2018	Purchase WSTN DIGITAL CORP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WDC	81665	958102105	5	000	100	57.1269 (5,712.69)
09/13/2018	09/17/2018	Purchase NXP SEMICONDUCTORS N.V. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NXPI	53E27	N6596X109	3	000	100	91.43 (9,143.00)
09/13/2018	09/17/2018	Sale PHILLIPS 66 SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PSX	605W8	718546104	4	0	(46)	113.1544 5,205.03
09/13/2018	09/17/2018	Sale EXXON MOBIL CORP COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	1	0	(67)	82.1944 5,506.95
09/14/2018	09/14/2018	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMOUNT \$311.49 REINV PRICE \$113.29800 QUANTITY BOT 2.7493	MSFT	49522	594918104	5	671	2.7493	0.00
09/25/2018	09/25/2018	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMOUNT \$384.86 REINV PRICE \$484.16000 QUANTITY BOT .7949	BLK	080L2	09247X101	2	671	0.7949	0.00
09/27/2018	10/01/2018	Purchase NXP SEMICONDUCTORS N.V. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NXPI	53E27	N6596X109	5	000	50	85.66 (4,283.00)
09/27/2018	10/01/2018	Purchase WSTN DIGITAL CORP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WDC	81665	958102105	6	000	50	58.4075 (2,920.38)

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: [REDACTED] CHA

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

09/27/2018	10/01/2018	Sale	XOM	25704	30231G102	4	0	(50)	86.0001	4,299.95
10/01/2018	10/01/2018	Reinvestment Share(s)	PEP	60739	713448108	10	671	2.5004		0.00
10/26/2018	10/30/2018	Sale	WDC	81665	958102105	1	0	(450)	43.5209	19,584.16
11/30/2018	12/04/2018	Reinvestment Share(s)	CTL	13119	156700106	5	000	0	700	18.6899
11/30/2018	12/04/2018	Purchase	T	02337	00206R102	4	000	0	400	30.95
11/30/2018	12/03/2018	Sale	LSFYX	9MBQ5	63872T554	8	0	(1,503)	9.63	14,473.89
11/30/2018	12/03/2018	Sale	LSFYX	9MBQ5	63872T554	7	0	(1,004)	9.63	9,668.52
11/30/2018	12/03/2018	Sale	LSFYX	9MBQ5	63872T554	6	0	(5,045)	9.63	48,583.35
11/30/2018	12/03/2018	Purchase	SHMXX	97561	52469J509	10	000	0	1,901.1410	15.78
11/30/2018	12/03/2018	Purchase	JSOSX	9PP26	481244351	9	000	0	2,613.2400	11.48
12/04/2018	12/04/2018	Reinvestment Share(s)	ODVYX	9LXH0	683974505	3	684	3.1880		0.00
12/07/2018	12/10/2018	Sale	ODVYX	9LXH0	683974505	5	0	(546.2440)	37.84	20,669.87
12/10/2018	12/10/2018	Exchange	OAKIX	9EHP3	413838202	3	TUF	(3,495.6580)		0.00
12/10/2018	12/10/2018	Exchange	OAYIX	9UOY3	413838731	4	TUF	3,490.9590		0.00
12/11/2018	12/11/2018	Reinvestment Share(s)	XOM	25704	30231G102	7	671	2.6594		0.00
12/12/2018	12/12/2018	Reinvestment Share(s)	JNJ	40853	478160104	1	671	1.7211		0.00
12/14/2018	12/14/2018	Reinvestment Share(s)	MSFT	49522	594918104	12	671	2.7763		0.00
12/14/2018	12/14/2018	Reinvestment Share(s)	OAYIX	9UOY3	413838731	7	684	169.7500		0.00
12/14/2018	12/14/2018	Reinvestment Share(s)	OAYIX	9UOY3	413838731	6	684	82.3930		0.00
12/18/2018	12/18/2018	Reinvestment Share(s)	GOGIX	9L6M3	47803T627	8	684	11.1920		0.00

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: ██████████ CHIA

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

12/18/2018	12/18/2018	Reinvestment Share(s) JOHN HANCOCK INTL GROWTH FUND CL 1 AGENT REINV AMOUNT \$7.20 REINV PRICE \$24.19000 QUANTITY BOT 290 AS OF 12/14	GOGIX	9LBM3	47803T627	9	684	0.2960	0.00	
12/18/2018	12/18/2018	Reinvestment Share(s) JOHN HANCOCK INTL GROWTH FUND CL 1 AGENT REINV AMOUNT \$140.60 REINV PRICE \$24.19000 QUANTITY BOT 5.8120 AS OF 12/14	GOGIX	9LBM3	47803T627	7	684	5.8120	0.00	
12/20/2018	12/21/2018	Sale LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y FRAC SHR QUANTITY .431 THIS SALE CONSTITUTES A REDEMPTION. UNSOLICITED ORDER PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LSPYX	9MBQS	6387ZT554	4	U	(0.4310)	9.40	4.05
12/20/2018	12/20/2018	Reinvestment Share(s) BLACKSTONE ALT MULTI STRATEGY FUND CLASS 1 AGENT REINV AMOUNT \$308.74 REINV PRICE \$10.39000 QUANTITY BOT 29.7150 AS OF 12/18	BXMX	9T3L3	09257V201	7	684	29.7150	0.00	
12/20/2018	12/20/2018	Reinvestment Share(s) BLACKSTONE ALT MULTI STRATEGY FUND CLASS 1 AGENT REINV AMOUNT \$160.93 REINV PRICE \$10.39000 QUANTITY BOT 15.4890 AS OF 12/18	BXMX	9T3L3	09257V201	8	684	15.4890	0.00	
12/27/2018	12/27/2018	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMOUNT \$387.33 REINV PRICE \$374.88000 QUANTITY BOT 1.0332	BLK	080L2	09247X101	7	671	1.0332	0.00	
03/18/2019	03/20/2019	Buy CENTURYLINK INC SHS	CTL	13119	156700106	0		600	12.0368	(7,222.08)
03/18/2019	03/20/2019	Buy ABBVIE INC SHS	ABBV	02FC0	00287Y109	0		50	80.477	(4,023.85)
03/18/2019	03/20/2019	Sell PEPSICO INC	PEP	60739	713448108	0		(57)	117.1001	6,674.62
03/18/2019	03/20/2019	Sell ISHARES S&P 500 GROWTH	IVW	388E7	464287309	0		(25)	170.7995	4,269.93
03/18/2019	03/20/2019	Sell DELTA AIR LINES INC	DAL	23CL0	247361702	0		(150)	50.6936	7,603.94

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
----------------------	-------------------------	----------------

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

**All Activity**Single Activity Type: Dividends/Interest

201 Items - Custom - From 1/1/2018 to 12/31/2018 as of Close of Business 4/1/2019

**Total Count:**  
**Total Amount:**  
**Pending Count:**  
**Pending Amount:**  
**Settled Count:**  
**Settled Amount:**

**M&S**  
**Merrill Lynch**  
**Pi&S**  
**Bank of America Corporation**

Activity	12/31/2018	12/31/2018	Dividend	SMVXX	97561	524691509	12	000	260.95
CMA	12/31/2018	12/31/2018	Dividend WESTERN ASSET MANAGED MUNICIPAL PD 1 PAY DATE 12/31/2018	PONIX	9PKY4	72201M719	11	000	134.12
CMA	12/31/2018	12/31/2018	Dividend PIMCO INCOME FUND CL 12 PAY DATE 12/31/2018	EIBLX	9LME1	277911491	10	000	301.26
CMA	12/31/2018	12/31/2018	Dividend EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 12/31/2018	JSOSX	9PP26	4812A4351	2	000	239.47
CMA	12/31/2018	12/31/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 12/28/2018	CIBR	31CV7	33734XB46	1	000	19.70
CMA	12/31/2018	12/31/2018	Dividend FIRST TR NASDAQ CYBERSECURITY ETF HOLDING 500,000 PAY DATE 12/31/2018	LMT	432C4	539830109	2	000	220.00
CMA	12/31/2018	12/31/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 11/30 THRU 12/31	VUG	31FM4	922908736	1	000	158.22
CMA	12/28/2018	12/28/2018	Dividend LOCKHEED MARTIN CORP HOLDING 100,0000 PAY DATE 12/28/2018	XLK	673G5	813697803	6	000	212.08
CMA	12/28/2018	12/28/2018	Dividend VANGUARD GROWTH ETF HOLDING 300,0000 PAY DATE 12/28/2018	XLF	673G3	813697605	4	000	376.86
CMA	12/27/2018	12/27/2018	Dividend SELECT SECTOR SPDR TR HOLDING 800,0000 PAY DATE 12/27/2018	XLV	673F6	813697209	3	000	139.29
CMA	12/27/2018	12/27/2018	Dividend SPDR US FINANCIAL SECTOR ETF HOLDING 2600,0000 PAY DATE 12/27/2018	GDV	39QF6	92189F106	2	000	42.00
CMA	12/27/2018	12/27/2018	Dividend HEALTH CARE SELECT SPDR HOLDING 367,0000 PAY DATE 12/27/2018	CME	153G0	12572Q105	1	000	70.00
CMA	12/27/2018	12/27/2018	Dividend VANECK VECTORS GOLD MINERS ETF HOLDING 400,0000 PAY DATE 12/27/2018						

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

**Investment Products:**

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: (MAM) "CMA"

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER, WALTER, K

Activity is shown in the currency of the account

### Investment Products:

**Are Not FDIC Insured**

**Are Not Bank Guaranteed**

**May Lose Value**

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: (CMAA) "CMAA

**For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values**

CMA	12/03/2018	12/03/2018	Dividend PIMCO INCOME FUND CL I2 PAY DATE 11/30/2018	PONPX	9PKY4	72201M719	4	000	134.12
CMA	12/03/2018	12/03/2018	Dividend EATON VANCE FLOATING RATE FUND CL I PAY DATE 11/30/2018	EIBLX	9LME1	277911491	3	000	282.43
CMA	12/03/2018	12/03/2018	Dividend PHILLIPS 66 SHS HOLDING 100.2291 PAY DATE 12/03/2018	PSX	605W8	718546104	2	000	80.18
CMA	12/03/2018	12/03/2018	Dividend INTEL CORP HOLDING 500.0000 PAY DATE 12/01/2018	INTC	38886	458140100	1	000	150.00
CMA	11/30/2018	11/30/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 11/29/2018	JSOSX	9PP26	4812A4351	2	000	115.93
CMA	11/30/2018	11/30/2018	Dividend LOOMIS SAYLES SR FLOAT RITE & FXD INC PD CL Y PAY DATE 11/29/2018	LSFYX	9MBQ5	638727554	1	000	376.87
CMA	11/30/2018	11/30/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 10/31 THRU 11/29	94SK9	990286916		7	AMF	1.58
CMA	11/30/2018	11/30/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 10/31 THRU 11/29	94SK9	990286916		7	AMF	18.00
CMA	11/28/2018	11/28/2018	Dividend DELTA AIR LINES INC HOLDING 900.0000 PAY DATE 11/28/2018	DAL	23CLO	247361702	1	000	315.00
CMA	11/15/2018	11/15/2018	Dividend APPLE INC HOLDING 350.0000 PAY DATE 11/15/2018	AAPL	05001	037833100	2	000	255.50
CMA	11/15/2018	11/15/2018	Dividend ABBVIE INC SHS HOLDING 200.0000 PAY DATE 11/15/2018	ABBV	02FC0	00287109	1	000	192.00
CMA	11/01/2018	11/01/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS PD I PAY DATE 10/31/2018	SMNIX	97561	524691509	4	000	159.00
CMA	11/01/2018	11/01/2018	Dividend PIMCO INCOME FUND CL I2 PAY DATE 10/31/2018	PONPX	9PKY4	72201M719	3	000	134.12
CMA	11/01/2018	11/01/2018	Dividend EATON VANCE FLOATING RATE FUND CL I PAY DATE 10/31/2018	EIBLX	9LME1	277911491	2	000	293.78
CMA	11/01/2018	11/01/2018	Dividend VERIZON COMMUNICATIONS COM HOLDING 1200.0000 PAY DATE 11/01/2018	VZ	79B06	92343V104	1	000	723.00
CMA	10/31/2018	10/31/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 10/30/2018	JSOSX	9PP26	4812A4351	3	000	114.94
CMA	10/31/2018	10/31/2018	Dividend LOOMIS SAYLES SR FLOAT RITE & FXD INC PD CL Y PAY DATE 10/30/2018	LSFYX	9MBQ5	638727554	2	000	399.52
CMA	10/31/2018	10/31/2018	Dividend JP MORGAN CHASE & CO HOLDING 900.0000 PAY DATE 10/31/2018	JPM	40SS5	4662SH100	1	000	720.00
CMA	10/31/2018	10/31/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 09/28 THRU 10/30	94SK9	990286916		6	AMF	1.13

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Products:	Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
----------------------	----------------------	-------------------------	----------------

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report (CMA)

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

CMA	10/31/2018	10/31/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 09/28 THRU 10/30	94SK9	990286916	9	AMF	9.00	
CMA	10/15/2018	10/15/2018	Dividend WESTN DIGITAL CORP DEL HOLDING 400,0000 PAY DATE 10/15/2018	WDC	81665	958102105	1	000	200.00
CMA	10/05/2018	10/05/2018	Foreign Dividend NXP SEMICONDUCTORS N.V. HOLDING 100,0000 PAY DATE 10/05/2018	NXPI	53E27	N6596X109	1	000	25.00
CMA	10/03/2018	10/03/2018	Dividend VANGUARD GROWTH ETF HOLDING 300,0000 PAY DATE 10/03/2018	VUG	31FM4	922908736	1	000	140.22
CMA	10/02/2018	10/02/2018	Dividend ISHARES U.S. BASIC MATERIALS ETF HOLDING 200,0000 PAY DATE 10/02/2018	IVM	388G6	464287838	3	000	69.27
CMA	10/02/2018	10/02/2018	Dividend ISHARES S&P 500 GROWTH HOLDING 625,0000 PAY DATE 10/02/2018	IVW	388E7	464287309	2	000	294.66
CMA	10/02/2018	10/02/2018	Dividend ISHARES RUSSELL 3000 HOLDING 200,0000 PAY DATE 10/02/2018	IWV	31CK2	464287689	1	000	153.07
CMA	10/01/2018	10/01/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 09/28/2018	SMNYX	97561	52469J509	3	000	127.57
CMA	10/01/2018	10/01/2018	Dividend FINCO INCOME FUND CL 12 PAY DATE 09/28/2018	PONPX	9PKY4	7220JN719	2	000	120.68
CMA	10/01/2018	10/01/2018	Dividend EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 09/28/2018	EIBLX	9LME1	277911491	1	000	267.49
CMA	09/28/2018	09/28/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 09/27/2018	JSOSX	9PP26	4812A4351	5	000	107.95
CMA	09/28/2018	09/28/2018	Dividend LOOMIS SAYLES SR FLOAT RTE & FXD INC FD CL 1 PAY DATE 09/27/2018	LSFTX	9MBQ5	63872T554	4	000	348.92
CMA	09/28/2018	09/28/2018	Dividend PEPSICO INC HOLDING 302,3099 PAY DATE 09/28/2018	PEP	60739	713448108	2	000	280.39
CMA	09/28/2018	09/28/2018	Dividend LOCKHEED MARTIN CORP HOLDING 100,0000 PAY DATE 09/28/2018	LMT	43ZG4	539830109	1	000	200.00
CMA	09/28/2018	09/28/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 08/31 THRU 09/27	94SK9	990286916	10	AMF	10.00	
CMA	09/28/2018	09/28/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 08/31 THRU 09/27	94SK9	990286916	7	AMF	1.33	
CMA	09/26/2018	09/26/2018	Dividend SELECT SECTOR SPDR TR HOLDING 800,0000 PAY DATE 09/26/2018	XLK	673G5	81369Y803	5	000	203.50
CMA	09/26/2018	09/26/2018	Dividend SECTOR SPDR INDUSTRIAL HOLDING 200,0000 PAY DATE 09/26/2018	XLI	673G4	81369Y704	4	000	76.77
CMA	09/26/2018	09/26/2018	Dividend SPDR US FINANCIAL SECTOR ETF HOLDING 2600,0000 PAY DATE	XLF	673G3	81369Y605	3	000	331.50

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: CMA\*

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K



	09/26/2018	09/26/2018	Dividend	XLV	673F6	81369Z09	2	000	134.72
CMA			HEALTH CARE SELECT SPDR HOLDING						
	09/26/2018	09/26/2018	367.0000 PAY DATE 09/26/2018						
CMA			Dividend	QCOM	634B7	747525103	1	000	217.00
	09/25/2018	09/25/2018	QUALCOMM INC HOLDING 350.0000 PAY DATE 09/26/2018						
CMA			Dividend	CME	153G0	12572Q105	1	000	70.00
	09/24/2018	09/24/2018	CME GROUP INC HOLDING 100.0000 PAY DATE 09/25/2018						
CMA			Dividend	BLX	080L2	09247X101	1	000	384.84
	09/13/2018	09/13/2018	BLACKROCK INC HOLDING 122.9509 PAY DATE 09/24/2018						
CMA			Dividend	MSFT	49522	594918104	1	000	311.49
	09/11/2018	09/11/2018	MICROSOFT CORP HOLDING 741.6371 PAY DATE 09/13/2018						
CMA			Dividend	JNJ	40B53	478160104	1	000	252.26
	09/10/2018	09/10/2018	JOHNSON AND JOHNSON COM HOLDING 280.2895 PAY DATE 09/11/2018						
CMA			Dividend	IBM	39050	459200101	3	000	225.50
	09/10/2018	09/10/2018	INTL BUSINESS MACHINES CORP IBM HOLDING 150.0000 PAY DATE 09/10/2018						
CMA			Dividend	XOM	257D4	30231G102	1	000	298.57
	09/04/2018	09/04/2018	EXXON MOBIL CORP COM HOLDING 364.1062 PAY DATE 09/10/2018						
CMA			Dividend	SMTX	97561	524691509	5	000	147.50
	09/04/2018	09/04/2018	WESTERN ASSET MANAGED MUNICIPAL PD 1 PAY DATE 08/31/2018						
CMA			Dividend	PONRX	9PKY4	72201W179	4	000	147.50
	09/04/2018	09/04/2018	PIMCO INCOME FUND CL 12 PAY DATE 08/31/2018						
CMA			Dividend	EIBLX	9LME1	277911491	3	000	277.29
	09/04/2018	09/04/2018	EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 08/31/2018						
CMA			Dividend	PSX	605W8	718546104	2	000	116.98
	09/04/2018	09/04/2018	PHILLIPS 66 SHS HOLDING 146.2291 PAY DATE 09/04/2018						
CMA			Dividend	INTC	38886	458140100	1	000	210.00
	08/31/2018	08/31/2018	INTEL CORP HOLDING 700.0000 PAY DATE 09/01/2018						
CMA			Dividend	JSOX	9P726	4812A4351	1	000	115.81
	08/31/2018	08/31/2018	JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 08/30/2018						
CMA			Bank Interest		94SK9	990286916	3	AMF	1.21
	08/31/2018	08/31/2018	ML BANK DEPOSIT PROGRAM FROM 07/31 THRU 08/30						
CMA			Bank Interest		94SK9	990286916	6	AMF	12.00
	08/29/2018	08/29/2018	ML BANK DEPOSIT PROGRAM FROM 07/31 THRU 08/30						
CMA			Dividend	LSYX	9WBG5	63872T554	1	000	351.94
	08/16/2018	08/16/2018	LOOMIS SAYLES SR FLOAT RTE & FIXD INC PD CL Y PAY DATE 08/28/2018						
CMA			Dividend	DAL	23C10	247361702	2	000	315.00
			DELTA AIR LINES INC HOLDING 500.0000 PAY DATE 08/16/2018						

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: (CMA)

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

CMA	08/16/2018	08/16/2018	Dividend APPLE INC HOLDING 350,0000 PAY DATE 08/16/2018	AAPL	05001	037833100	1	000	255.50
CMA	08/01/2018	08/01/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 07/31/2018	SMNTX	97561	524691509	4	000	131.95
CMA	08/01/2018	08/01/2018	Dividend PIMCO INCOME FUND CL 12 PAY DATE 07/31/2018	PONPX	9PKV4	72201M719	3	000	134.09
CMA	08/01/2018	08/01/2018	Dividend EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 07/31/2018	EIBLX	9LME1	277911491	2	000	277.78
CMA	08/01/2018	08/01/2018	Dividend VERIZON COMMUNICATIONS COM HOLDING 1400,0000 PAY DATE 08/01/2018	VZ	79806	92343V104	1	000	826.00
CMA	07/31/2018	07/31/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 07/30/2018	JSOSX	9P926	4812A4351	3	000	109.33
CMA	07/31/2018	07/31/2018	Dividend LOOMIS SAYLES SR FLOAT RTE & FXD INC FD CL Y PAY DATE 07/30/2018	LSFX	9WBQ5	638721554	2	000	380.64
CMA	07/31/2018	07/31/2018	Dividend JP MORGAN CHASE & CO HOLDING 500,0000 PAY DATE 07/31/2018	JPM	40555	46625H100	1	000	504.00
CMA	07/31/2018	07/31/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 06/29 THRU 07/30	94SK9	990286916		12	AMF	2.00
CMA	07/31/2018	07/31/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 06/29 THRU 07/30	94SK9	990286916		9	AMF	1.53
CMA	07/26/2018	07/26/2018	Dividend DISNEY (WALT) CO COM STK HOLDING 400,0000 PAY DATE 07/26/2018	DIS	23892	254687106	1	000	336.00
CMA	07/16/2018	07/16/2018	Dividend WESTN DIGITAL CORP DEL HOLDING 200,0000 PAY DATE 07/16/2018	WDC	81665	958102105	1	000	100.00
CMA	07/10/2018	07/10/2018	Dividend ISHARES RUSSELL 3000 HOLDING 235,0000 PAY DATE 07/10/2018	IWV	31CK2	464287689	1	000	149.52
CMA	07/02/2018	07/02/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 06/29/2018	SMNTX	97561	524691509	5	000	139.36
CMA	07/02/2018	07/02/2018	Dividend PIMCO INCOME FUND CL 12 PAY DATE 06/29/2018	PONPX	9PKV4	72201M719	4	000	134.09
CMA	07/02/2018	07/02/2018	Dividend EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 06/29/2018	EIBLX	9LME1	277911491	3	000	265.82
CMA	07/02/2018	07/02/2018	Dividend ISHARES U.S. BASIC MATERIALS ETF HOLDING 200,0000 PAY DATE 07/02/2018	IYM	388G6	464287838	2	000	88.29
CMA	07/02/2018	07/02/2018	Dividend ISHARES S&P 500 GROWTH HOLDING 625,0000 PAY DATE 07/02/2018	IWV	388E7	464287309	1	000	300.19
CMA	06/29/2018	06/29/2018	Dividend JP MORGAN STRATEGIC INCOME OPP	JSOSX	9P926	4812A4351	4	000	112.88

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: CMA\*

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

FUND CL 1 PAY DATE 06/29/2018									
CMA	06/29/2018	06/29/2018	Dividend PERSICO INC HOLDING 309,6865 PAY DATE 06/29/2018	PEP	60739	713448108	2	000	287.23
CMA	06/29/2018	06/29/2018	Dividend FIRST TR NASDAQ CYBERSECURITY ETF HOLDING 500,0000 PAY DATE 06/29/2018	CIER	31CV7	33734X846	1	000	4.05
CMA	06/29/2018	06/29/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 05/31 THRU 06/28		94SK9	990286916	6	AMF	0.73
CMA	06/29/2018	06/29/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 05/31 THRU 06/28		94SK9	990286916	10	AMF	5.00
CMA	06/27/2018	06/27/2018	Dividend LOOMIS SAYLES SR FLOAT RTE & FXD INC FD CL Y PAY DATE 06/26/2018	LSYX	94B05	63872T554	2	000	357.99
CMA	06/27/2018	06/27/2018	Dividend VANGUARD GROWTH ETF HOLDING 300,0000 PAY DATE 06/27/2018	VUG	31FM4	922908736	1	000	125.82
CMA	06/25/2018	06/25/2018	Dividend CME GROUP INC HOLDING 100,0000 PAY DATE 06/25/2018	CME	153G0	12572Q105	1	000	70.00
CMA	06/22/2018	06/22/2018	Dividend LOCKHEED MARTIN CORP HOLDING 100,0000 PAY DATE 06/22/2018	LMT	43ZG4	539830109	1	000	200.00
CMA	06/21/2018	06/21/2018	Dividend BLACKROCK INC HOLDING 122,2863 PAY DATE 06/21/2018	BLK	080L2	09247X101	1	000	352.18
CMA	06/20/2018	06/20/2018	Dividend SELECT SECTOR SPDR TR HOLDING 800,0000 PAY DATE 06/20/2018	XLK	673G5	81369Y803	5	000	204.22
CMA	06/20/2018	06/20/2018	Dividend SECTOR SPDR INDUSTRIAL HOLDING 200,0000 PAY DATE 06/20/2018	XLI	673G4	81369Y704	4	000	60.33
CMA	06/20/2018	06/20/2018	Dividend SPDR US FINANCIAL SECTOR ETF HOLDING 2600,0000 PAY DATE 06/20/2018	XLF	673G3	81369Y605	3	000	309.31
CMA	06/20/2018	06/20/2018	Dividend HEALTH CARE SELECT SPDR HOLDING 367,0000 PAY DATE 06/20/2018	XLV	673F6	81369Y209	2	000	115.98
CMA	06/20/2018	06/20/2018	Dividend QUALCOMM INC HOLDING 400,0000 PAY DATE 06/20/2018	QCOM	634B7	747525103	1	000	248.00
CMA	06/19/2018	06/19/2018	Dividend SEALED AIR CORP (NEW) HOLDING 200,0000 PAY DATE 06/15/2018	SEE	672C8	81211K100	1	000	32.00
CMA	06/14/2018	06/14/2018	Dividend MICROSOFT CORP HOLDING 738,5754 PAY DATE 06/14/2018	MSFT	49522	594918104	1	000	310.20
CMA	06/12/2018	06/12/2018	Dividend JOHNSON AND JOHNSON COM HOLDING 278,2565 PAY DATE 06/12/2018	JNJ	40853	478160104	1	000	250.43
CMA	06/11/2018	06/11/2018	Dividend INTL BUSINESS MACHINES CORP IBM HOLDING 150,0000 PAY DATE	IBM	39050	459200101	3	000	235.50

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

## Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: CMA\*

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

Activity is shown in the currency of the account

### Investment Products:

**May Lose Value**

Accounts included in this report: (MAM) "CMA"

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

CHA	04/30/2018	04/30/2018	Dividend LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y PAY DATE 04/27/2018	LSRYX	9MBQ5	638727554	2	000	379.13
CHA	04/30/2018	04/30/2018	Dividend JP MORGAN CHASE & CO HOLDING 500.0000 PAY DATE 04/30/2018	JPM	40SS5	46625H100	1	000	504.00
CHA	04/30/2018	04/30/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 03/29 THRU 04/29		94SK9	990286916	13	AMF	6.00
CHA	04/30/2018	04/30/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 03/29 THRU 04/29		94SK9	990286916	9	AMF	0.64
CHA	04/16/2018	04/16/2018	Dividend MSTN DIGITAL CORP DEL HOLDING 150.0000 PAY DATE 04/16/2018	WDC	81665	958102105	1	000	75.00
CHA	04/12/2018	04/12/2018	Short Term Capital Gain ASG MANAGED FUTURES STR FD CL Y PAY DATE 04/11/2018	ASFX	9K8V4	638727729	3	000	215.37
CHA	04/12/2018	04/12/2018	Long Term Capital Gain ASG MANAGED FUTURES STR FD CL Y PAY DATE 04/11/2018	ASFX	9K8V4	638727729	1	000	8.55
CHA	04/02/2018	04/02/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 03/29/2018	SMNYX	97561	524691509	6	000	151.28
CHA	04/02/2018	04/02/2018	Dividend PIMCO INCOME FUND CL P PAY DATE 03/29/2018	PONRX	9PKY4	72201M719	5	000	89.05
CHA	04/02/2018	04/02/2018	Dividend EATON VANCE FLOATING RATE FUND CL I PAY DATE 03/29/2018	EIBLX	9LME1	277911491	4	000	280.97
CHA	04/02/2018	04/02/2018	Dividend PEPSICO INC HOLDING 307.3824 PAY DATE 03/30/2018	PEP	60739	713448108	2	000	247.44
CHA	04/02/2018	04/02/2018	Dividend COCA COLA COM HOLDING 500.0000 PAY DATE 04/02/2018	KO	18320	191216100	1	000	195.00
CHA	03/29/2018	03/29/2018	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 03/28/2018	JSO5X	9PP26	4812M4351	2	000	97.94
CHA	03/29/2018	03/29/2018	Dividend LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y PAY DATE 03/28/2018	LSRYX	9MBQ5	638727554	1	000	322.49
CHA	03/29/2018	03/29/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 02/28 THRU 03/28		94SK9	990286916	8	AMF	10.00
CHA	03/29/2018	03/29/2018	Bank Interest ML BANK DEPOSIT PROGRAM FROM 02/28 THRU 03/28		94SK9	990286916	5	AMF	0.53
CHA	03/28/2018	03/28/2018	Dividend ISHARES U.S. BASIC MATERIALS ETF HOLDING 200.0000 PAY DATE 03/28/2018	ITM	388C6	464287838	3	000	65.83
CHA	03/28/2018	03/28/2018	Dividend ISHARES S&P 500 GROWTH HOLDING 625.0000 PAY DATE 03/28/2018	IWM	388E7	464287309	2	000	292.05
CHA	03/28/2018	03/28/2018	Dividend ISHARES RUSSELL 3000 HOLDING 235.0000 PAY DATE 03/28/2018	IWM	31CK2	464287689	1	000	151.65

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: (PMM) "CHA"

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

03/27/2018	03/27/2018	Dividend VANGUARD GROWTH ETF HOLDING 300.0000 PAY DATE 03/27/2018	VUG	31FM4	922908736	1	000	107.82
03/26/2018	03/26/2018	Dividend CME GROUP INC HOLDING 100.0000 PAY DATE 03/26/2018	CME	15350	125720105	1	000	70.00
03/23/2018	03/23/2018	Dividend LOCKHEED MARTIN CORP HOLDING 100.0000 PAY DATE 03/23/2018	LMT	43264	539830109	1	000	200.00
03/22/2018	03/22/2018	Dividend BLACKROCK INC HOLDING 121.6402 PAY DATE 03/22/2018	BLK	080L2	09247X101	1	000	350.32
03/21/2018	03/21/2018	Dividend SELECT SECTOR SPDR TR HOLDING 800.0000 PAY DATE 03/21/2018	XLK	67365	813697803	5	000	174.20
03/21/2018	03/21/2018	Dividend SECTOR SPDR INDUSTRIAL HOLDING 200.0000 PAY DATE 03/21/2018	XLI	67364	813697704	4	000	61.46
03/21/2018	03/21/2018	Dividend SPDR US FINANCIAL SECTOR ETF HOLDING 2600.0000 PAY DATE 03/21/2018	XLV	67363	813697605	3	000	271.86
03/21/2018	03/21/2018	Dividend HEALTH CARE SELECT SPDR HOLDING 367.0000 PAY DATE 03/21/2018	XLV	67366	813697209	2	000	109.98
03/21/2018	03/21/2018	Dividend QUALCOMM INC HOLDING 400.0000 PAY DATE 03/21/2018	QCOM	63487	747525103	1	000	228.00
03/16/2018	03/16/2018	Dividend SEALED AIR CORP (NEW) HOLDING 400.0000 PAY DATE 03/16/2018	SEE	672C8	81211K100	2	000	64.00
03/16/2018	03/16/2018	Dividend DELTA AIR LINES INC HOLDING 900.0000 PAY DATE 03/16/2018	DAL	23C10	247261702	1	000	274.50
03/13/2018	03/13/2018	Dividend JOHNSON AND JOHNSON COM HOLDING 275.5400 PAY DATE 03/13/2018	JNJ	40853	478160104	1	000	232.29
03/12/2018	03/12/2018	Dividend INTL BUSINESS MACHINES CORP IBM HOLDING 150.0000 PAY DATE 03/10/2018	IBM	39050	459200101	1	000	225.00
03/09/2018	03/09/2018	Dividend EXXON MOBIL CORP COM HOLDING 356.8913 PAY DATE 03/09/2018	XOM	257D4	30221G102	1	000	274.81
03/08/2018	03/08/2018	Dividend MICROSOFT CORP HOLDING 735.3358 PAY DATE 03/08/2018	MSFT	49522	594918104	1	000	308.84
03/01/2018	03/01/2018	Dividend WESTERN ASSET MANAGED MUNICIPALS FD I PAY DATE 02/28/2018	SMNTX	97561	524691509	5	000	133.68
03/01/2018	03/01/2018	Dividend PIMCO INCOME FUND CL P PAY DATE 02/28/2018	PONPX	9PKY4	72201W719	4	000	89.05
03/01/2018	03/01/2018	Dividend EATON VANCE FLOATING RATE FUND CL I PAY DATE 02/28/2018	EBLX	9LWE1	27911491	3	000	238.19
03/01/2018	03/01/2018	Dividend PHILLIPS 66 SHS HOLDING 146.2291	PSX	605W8	718546104	2	000	102.56

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

©2019 Bank of America Corporation. All rights reserved.

Accounts included in this report: (AM) CMA\*

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

**Activity is shown in the currency of the account**

### Investment Products:

**Are Not Bank Guaranteed**

**May Lose Value**

Accounts included in this report are (CMAA) "CMA"

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

CMA

PEPISCO INC HOLDING 305.3037 PAY  
DATE 01/09/2018

Activity is shown in the currency of the account

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and member SIPC, and other subsidiaries of Bank of America Corporation.

Investment Products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

© 2019 Bank of America Corporation. All rights reserved.

Accounts included in this report are (CMA) "CMA"

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K





AMERICAN  
FUNDS®

From Capital Group

Year-End Statement  
December 31, 2018

Page 18 of 18

Primary account number: [REDACTED]

## About your accounts

### Information about your investments

**Your responsibilities.** Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your financial advisor or call us at (800) 421-4225. If you delay in reporting an error, we may be unable to adjust your account.

**Rollovers.** If you roll over funds into CollegeAmerica or ABLEAmerica, you'll need to give us appropriate documentation from the prior institution showing the earnings portion of the rollover. We must treat the entire rollover as earnings if this information is not provided.

**Personal rate of return.** American Funds uses the Modified Dietz method to calculate the rates of return for your fund holdings, accounts and portfolio. This time-weighted calculation does not treat withdrawals as losses or investment amounts as gains. The formula factors in the amounts and daily prices of your account activity; the returns, fees and expenses of your specific funds; and applicable sales charges. Calculations may include closed and previously owned funds. Portfolio rate of return is the combined return for all of the accounts on this statement. Returns for investments made before 2003 are calculated with an initial investment date of January 1, 2003, and the investment value as of that date. Returns for periods less than a year are cumulative total returns and not annualized. Your personal returns may differ from fund results shown on this statement and online. You can view your fund and account personal rates of return, updated after each business day, by logging in to your account at [americanfunds.com](http://americanfunds.com). For more information, please visit [americanfunds.com/statement](http://americanfunds.com/statement) or call Shareholder Services at (800) 421-4225.

**Cost basis.** Effective January 1, 2012, the IRS requires American Funds to report cost basis information to both investors and the IRS on the sale or exchange of mutual fund shares acquired on or after January 1, 2012, called "covered" shares. The IRS requirements apply only to taxable accounts. Tax-favored accounts, including 529 and retirement accounts, are not included in the requirements.

American Funds uses Average Cost as the default cost basis method for covered shares unless you have selected another method for your account. For more information on cost basis, including other methods supported by American Funds and ways to select a cost basis method other than Average Cost, please go to our Tax Center at [americanfunds.com](http://americanfunds.com) or consult your financial advisor or tax professional.

**Stay connected.** For the latest fund information, commentaries and updates, follow us on Facebook ([facebook.com/americanfunds](https://facebook.com/americanfunds)) or YouTube ([youtube.com/americanfunds](https://youtube.com/americanfunds)).





# AMERICAN FUNDS

From Capital Group

Year-End Statement  
December 31, 2018

Page 16 of 18

Primary account number [REDACTED]

## Activity detail for account

(continued)

## Account value

### Account registration:

VCSP/COLLEGEAMERICA  
SUZANNA E MORA OWNER  
FBO MICHAEL F QUARANTA

### Global Balanced Fund-529A Fund number: 1037 Symbol: CBFAX Objective: Balanced

0.00% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$45.24

Short-term capital gains

\$0.00

Long-term capital gains

\$0.00

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$2,053.50	\$32.49 / \$32.49		63.204
03/23/18	Income Dividend 0.1533	\$9.69	\$31.99 / \$31.99	0.303	63.507
03/23/18	Foreign Tax Paid	\$0.67	\$31.99 / \$31.99		63.507
06/22/18	Income Dividend 0.2263	\$14.37	\$32.13 / \$32.13	0.447	63.954
06/22/18	Foreign Tax Paid	\$0.64	\$32.13 / \$32.13		63.954
09/21/18	Income Dividend 0.1413	\$9.04	\$32.09 / \$32.09	0.282	64.236
09/21/18	Foreign Tax Paid	\$0.62	\$32.09 / \$32.09		64.236
12/20/18	Income Dividend 0.1494	\$9.60	\$29.54 / \$29.54	0.325	64.561
12/20/18	Foreign Tax Paid	\$0.61	\$29.54 / \$29.54		64.561
12/27/18	Dist To Owner Basis	-\$1,770.78	\$29.55 / \$29.55	-59.925	4.636
12/27/18	Dist To Owner Earnings	-\$137.00	\$29.55 / \$29.55	-4.636	0.000
12/31/18	Ending balance				0.000

### The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

0.42% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$11.90

Short-term capital gains

\$0.00

Long-term capital gains

\$216.42

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$2,201.50	\$49.03 / \$49.03		44.901
12/21/18	Capital Gain 4.82	\$216.42	\$40.48 / \$40.48	5.346	50.247
12/21/18	Income Dividend 0.2651	\$11.90	\$40.48 / \$40.48	0.294	50.541
12/27/18	Dist To Owner Earnings	-\$147.96	\$41.95 / \$41.95	-3.527	47.014
12/27/18	Dist To Owner Basis	-\$1,912.39	\$41.95 / \$41.95	-45.587	1.427
12/31/18	Ending balance				1.427

### The Investment Company of America-529A Fund number: 1004 Symbol: CICAX Objective: Growth-and-income

13.89% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$37.49

Short-term capital gains

\$0.00

Long-term capital gains

\$172.96

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$2,130.16	\$40.29 / \$40.29		52.871
03/16/18	Income Dividend 0.1415	\$7.48	\$41.23 / \$41.23	0.181	53.052
06/15/18	Capital Gain 0.561	\$29.76	\$40.77 / \$40.77	0.730	53.782
06/15/18	Income Dividend 0.1459	\$7.74	\$40.77 / \$40.77	0.190	53.972
09/14/18	Income Dividend 0.1454	\$7.85	\$41.53 / \$41.53	0.189	54.161
12/19/18	Income Dividend 0.1463	\$7.92	\$33.71 / \$33.71	0.235	54.396
12/19/18	Special Dividend 0.12	\$6.50	\$33.71 / \$33.71	0.193	54.589
12/19/18	Capital Gain 2.644	\$143.20	\$33.71 / \$33.71	4.248	58.837
12/31/18	Ending balance				58.837



**AMERICAN  
FUNDS**

From Capital Group

Year-End Statement  
December 31, 2018

Page 14 of 18

Primary account number [REDACTED]

## Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:  
VCSP/COLLEGEAMERICA  
SUZANNA E MORA OWNER  
FBO MICHAEL F QUARANTA

### American Balanced Fund-529A Fund number: 1011 Symbol: CLBAX Objective: Balanced

14.15% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$38.75 Short-term capital gains \$0.00 Long-term capital gains \$78.98

#### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$2,085.00	\$27.11 / \$27.11		76.909
03/14/18	Income Dividend 0.0948	\$7.29	\$27.16 / \$27.16	0.268	77.177
06/13/18	Income Dividend 0.0946	\$7.30	\$27.29 / \$27.29	0.267	77.444
06/13/18	Capital Gain 0.047	\$3.62	\$27.29 / \$27.29	0.133	77.577
09/12/18	Income Dividend 0.0946	\$7.34	\$27.70 / \$27.70	0.265	77.842
12/18/18	Income Dividend 0.094	\$7.32	\$24.98 / \$24.98	0.293	78.135
12/18/18	Capital Gain 0.968	\$75.35	\$24.98 / \$24.98	3.016	81.151
12/18/18	Special Dividend 0.122	\$9.50	\$24.98 / \$24.98	0.380	81.531
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		81.531

### American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond

13.40% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$115.77 Short-term capital gains \$0.00 Long-term capital gains \$0.00

#### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$1,956.78	\$10.37 / \$10.37		188.696
01/31/18	Income Dividend	\$9.10	\$10.42 / \$10.42	0.873	189.569
02/28/18	Income Dividend	\$8.23	\$10.29 / \$10.29	0.800	190.369
03/29/18	Income Dividend	\$9.58	\$10.19 / \$10.19	0.940	191.309
04/30/18	Income Dividend	\$9.29	\$10.19 / \$10.19	0.912	192.221
05/31/18	Income Dividend	\$10.78	\$10.12 / \$10.12	1.065	193.286
06/29/18	Income Dividend	\$9.17	\$10.14 / \$10.14	0.904	194.190
07/31/18	Income Dividend	\$9.56	\$10.23 / \$10.23	0.935	195.125
08/31/18	Income Dividend	\$9.91	\$10.23 / \$10.23	0.969	196.094
09/28/18	Income Dividend	\$9.01	\$10.25 / \$10.25	0.879	196.973
10/31/18	Income Dividend	\$9.63	\$10.06 / \$10.06	0.957	197.930
11/30/18	Income Dividend	\$10.21	\$9.90 / \$9.90	1.031	198.961
12/31/18	Income Dividend	\$11.30	\$9.59 / \$9.59	1.178	200.139
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		200.139

**Daily dividend.** Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

### American Mutual Fund-529A Fund number: 1003 Symbol: CMLAX Objective: Growth-and-income

14.44% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$42.38 Short-term capital gains \$0.00 Long-term capital gains \$86.10

#### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$2,114.23	\$40.71 / \$40.71		51.934
03/16/18	Income Dividend 0.1822	\$9.46	\$40.77 / \$40.77	0.232	52.166
06/15/18	Income Dividend 0.1861	\$9.71	\$40.91 / \$40.91	0.237	52.403



**AMERICAN  
FUNDS**

From Capital Group

Year-End Statement  
December 31, 2018

Page 12 of 18

Primary account number [REDACTED]

## Activity detail for account [REDACTED]

Account value. [REDACTED]

Account registration:  
VCSP/COLLEGEAMERICA  
SUZANNAE MORA OWNER  
FBO MARIE V QUARANTA

✓ **AMCAP Fund-529A** Fund number: 1002 Symbol: CAFAX Objective: Growth

20.57% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$14.32 Short-term capital gains \$0.00 Long-term capital gains \$259.45

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$0.00	\$31.15 / \$31.15		0.000
05/07/18	Contribution	\$3,000.00	\$33.66 <sup>1</sup> / \$32.82	89.127	89.127
06/15/18	Capital Gain 0.853	\$76.03	\$33.58 / \$33.58	2.264	91.391
12/20/18	Income Dividend 0.1567	\$14.32	\$26.99 / \$26.99	0.531	91.922
12/20/18	Capital Gain 2.007	\$183.42	\$26.99 / \$26.99	6.796	98.718
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		98.718

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The Growth Fund of America-529A** Fund number: 1005 Symbol: CGFAX Objective: Growth

20.27% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$14.95 Short-term capital gains \$0.00 Long-term capital gains \$271.91

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$0.00	\$49.03 / \$49.03		0.000
05/07/18	Contribution	\$3,000.00	\$53.18 <sup>1</sup> / \$51.85	56.412	56.412
12/21/18	Income Dividend 0.2651	\$14.95	\$40.48 / \$40.48	0.369	56.781
12/21/18	Capital Gain 4.82	\$271.91	\$40.48 / \$40.48	6.717	63.498
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		63.498

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The New Economy Fund-529A** Fund number: 1014 Symbol: CNGAX Objective: Growth

19.70% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$12.82 Short-term capital gains \$0.00 Long-term capital gains \$224.87

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$0.00	\$44.18 / \$44.18		0.000
05/07/18	Contribution	\$3,000.00	\$48.66 <sup>1</sup> / \$47.44	61.652	61.652
12/19/18	Income Dividend 0.2079	\$12.82	\$38.08 / \$38.08	0.337	61.989
12/19/18	Capital Gain 3.6474	\$224.87	\$38.08 / \$38.08	5.905	67.894
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		67.894

<sup>1</sup>This purchase was made at the \$250,000 discount level.



224-AFS (DST) 08/13



# AMERICAN FUNDS

From Capital Group

Year-End Statement  
December 31, 2018

Page 10 of 18

Primary account number [REDACTED]

## Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:  
SUZANNAE MORA

**Washington Mutual Investors Fund-C** Fund number: 301 Symbol: WSHCX Objective: Growth-and-income

11.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$349.12 Short-term capital gains \$0.00 Long-term capital gains \$1,708.42

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$30,634.34	\$45.08 / \$45.08		679.555
03/16/18	Income Dividend 0.1092	\$74.21	\$45.47 / \$45.47	1.632	681.187
06/15/18	Capital Gain 1.4815	\$1,009.18	\$44.72 / \$44.72	22.567	703.754
06/15/18	Income Dividend 0.1103	\$75.13	\$44.72 / \$44.72	1.680	705.434
09/14/18	Income Dividend 0.1126	\$79.43	\$46.09 / \$46.09	1.723	707.157
12/21/18	Special Dividend 0.055	\$38.89	\$39.29 / \$39.29	0.990	708.147
12/21/18	Income Dividend 0.1152	\$81.46	\$39.29 / \$39.29	2.073	710.220
12/21/18	Capital Gain 0.9888	\$699.24	\$39.29 / \$39.29	17.797	728.017
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		728.017

**Dividend change.** Beginning with the December payment, the fund increased its quarterly dividend by approximately \$0.0025 per share.

## Activity detail for account [REDACTED] Account value [REDACTED]

Account registration:  
VCSP/COLLEGEAMERICA  
SUZANNAE MORA OWNER  
FBO RENEE G QUARANTA

✓ **AMCAP Fund-529A** Fund number: 1002 Symbol: CAFAX Objective: Growth

20.57% of the account's assets are in this fund

Beneficiary age: 18

Successor owner:  
WALTER K SCHRADER

Year-to-date: Dividends \$14.32 Short-term capital gains \$0.00 Long-term capital gains \$259.45

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$0.00	\$31.15 / \$31.15		0.000
05/07/18	Contribution	\$3,000.00	\$33.66 <sup>1</sup> / \$32.82	89.127	89.127
06/15/18	Capital Gain 0.853	\$76.03	\$33.58 / \$33.58	2.264	91.391
12/20/18	Capital Gain 2.007	\$183.42	\$26.99 / \$26.99	6.796	98.187
12/20/18	Income Dividend 0.1567	\$14.32	\$26.99 / \$26.99	0.531	98.718
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		98.718

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The Growth Fund of America-529A** Fund number: 1005 Symbol: CGFAX Objective: Growth

20.27% of the account's assets are in this fund

Beneficiary age: 18

Successor owner:  
WALTER K SCHRADER

Year-to-date: Dividends \$14.95 Short-term capital gains \$0.00 Long-term capital gains \$271.91

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$0.00	\$49.03 / \$49.03		0.000
05/07/18	Contribution	\$3,000.00	\$53.18 <sup>1</sup> / \$51.85	56.412	56.412
12/21/18	Capital Gain 4.82	\$271.91	\$40.48 / \$40.48	6.717	63.129



**AMERICAN  
FUNDS**

From Capital Group

Year-End Statement  
December 31, 2018

Page 8 of 18

Primary account number [REDACTED]

## Activity detail for account

(continued)

Account value:

Account registration:  
SUZANNAE MORA

International Growth and Income-C Fund number: 334 Symbol: IGICX Objective: Growth-and-income

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
03/23/18	Income Dividend 0.0641	\$2.72	\$34.42 / \$34.42	0.079	42.575
03/23/18	Foreign Tax Paid	\$0.91	/ \$34.42		42.575
04/16/18	Automatic Investment	\$500.00	\$35.29 / \$35.29	14.168	56.743
05/15/18	Automatic Investment	\$500.00	\$35.08 / \$35.08	14.253	70.996
06/15/18	Automatic Investment	\$500.00	\$34.19 / \$34.19	14.624	85.620
06/22/18	Income Dividend 0.326	\$27.91	\$33.57 / \$33.57	0.831	86.451
06/22/18	Foreign Tax Paid	\$1.78	/ \$33.57		86.451
07/16/18	Automatic Investment	\$500.00	\$33.53 / \$33.53	14.912	101.363
08/15/18	Automatic Investment	\$500.00	\$32.26 / \$32.26	15.499	116.862
09/17/18	Automatic Investment	\$500.00	\$32.55 / \$32.55	15.361	132.223
09/21/18	Income Dividend 0.1627	\$21.51	\$33.23 / \$33.23	0.647	132.870
09/21/18	Foreign Tax Paid	\$2.64	/ \$33.23		132.870
10/15/18	Automatic Investment	\$500.00	\$30.96 / \$30.96	16.150	149.020
12/24/18	Foreign Tax Paid	\$2.95	/ \$28.32		149.020
12/31/18	Ending balance				149.020

The Investment Company of America-C Fund number: 304 Symbol: AICCX Objective: Growth-and-income

11.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$314.84 Short-term capital gains \$0.00 Long-term capital gains \$2,458.96

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$30,187.46	\$39.98 / \$39.98		755.064
03/16/18	Income Dividend 0.0677	\$51.12	\$40.92 / \$40.92	1.249	756.313
06/15/18	Capital Gain 0.561	\$424.29	\$40.46 / \$40.46	10.487	766.800
06/15/18	Income Dividend 0.0736	\$55.66	\$40.46 / \$40.46	1.376	768.176
09/14/18	Income Dividend 0.0734	\$56.38	\$41.21 / \$41.21	1.368	769.544
12/19/18	Capital Gain 2.644	\$2,034.67	\$33.43 / \$33.43	60.864	830.408
12/19/18	Special Dividend 0.12	\$92.35	\$33.43 / \$33.43	2.762	833.170
12/19/18	Income Dividend 0.0771	\$59.33	\$33.43 / \$33.43	1.775	834.945
12/31/18	Ending balance				834.945

The New Economy Fund-C Fund number: 314 Symbol: ANFCX Objective: Growth

9.52% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$2,274.64

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$25,063.77	\$40.19 / \$40.19		623.632
12/19/18	Capital Gain 3.6474	\$2,274.64	\$34.23 / \$34.23	66.452	690.084
12/31/18	Ending balance				690.084



**AMERICAN  
FUNDS**

From Capital Group

Year-End Statement  
December 31, 2018

Page 6 of 18

Primary account number [REDACTED]

## Activity detail for account

(continued)

Account value: [REDACTED]

Account registration:  
SUZANNAE MORA

Capital Income Builder-A Fund number: 12 Symbol: CAIBX Objective: Equity-income

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
12/21/18	Special Dividend 0.14	\$8.27	\$55.34 / \$55.34	0.149	59.216
12/21/18	Income Dividend 0.50	\$29.53	\$55.34 / \$55.34	0.534	59.750
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		59.750

EuroPacific Growth Fund-C Fund number: 316 Symbol: AEP CX Objective: Growth

1.71% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis [REDACTED]

Cost per share [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$33.92 Short-term capital gains [REDACTED] \$0.00 Long-term capital gains \$131.69

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$508.42	\$55.00 / \$55.00		9.244
02/15/18	Automatic Investment	\$500.00	\$56.79 / \$56.79	8.804	18.048
03/15/18	Automatic Investment	\$500.00	\$56.86 / \$56.86	8.794	26.842
04/16/18	Automatic Investment	\$500.00	\$55.72 / \$55.72	8.973	35.815
05/15/18	Automatic Investment	\$500.00	\$56.14 / \$56.14	8.906	44.721
06/13/18	Capital Gain Dividend	\$72.40	\$54.21 / \$54.21	1.336	46.057
06/13/18	Foreign Tax Paid	\$2.23	/ \$54.21		46.057
06/15/18	Automatic Investment	\$500.00	\$53.46 / \$53.46	9.353	55.410
07/16/18	Automatic Investment	\$500.00	\$52.78 / \$52.78	9.473	64.883
08/15/18	Automatic Investment	\$500.00	\$50.61 / \$50.61	9.879	74.762
09/17/18	Automatic Investment	\$500.00	\$50.66 / \$50.66	9.870	84.632
10/15/18	Automatic Investment	\$500.00	\$47.80 / \$47.80	10.460	95.092
12/20/18	Income Dividend 0.2839	\$27.00	\$43.57 / \$43.57	0.620	95.712
12/20/18	Foreign Tax Paid	\$4.69	/ \$43.57		95.712
12/20/18	Capital Gain 0.6235	\$59.29	\$43.57 / \$43.57	1.361	97.073
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		97.073

Fundamental Investors-C Fund number: 310 Symbol: AFICX Objective: Growth-and-income

11.61% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis [REDACTED]

Cost per share [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$245.56 Short-term capital gains \$0.00 Long-term capital gains \$2,428.06

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$31,359.11	\$61.88 / \$61.88		506.773
03/16/18	Income Dividend 0.0311	\$15.76	\$63.57 / \$63.57	0.248	507.021
06/15/18	Capital Gain 0.532	\$269.74	\$63.36 / \$63.36	4.257	511.278
06/15/18	Income Dividend 0.0315	\$15.97	\$63.36 / \$63.36	0.252	511.530
09/14/18	Income Dividend 0.0359	\$18.36	\$64.35 / \$64.35	0.285	511.815
12/21/18	Capital Gain 4.217	\$2,158.32	\$50.15 / \$50.15	43.037	554.852
12/21/18	Income Dividend 0.0419	\$21.45	\$50.15 / \$50.15	0.428	555.280
12/21/18	Special Dividend 0.34	\$174.02	\$50.15 / \$50.15	3.470	558.750
12/31/18	Ending balance	[REDACTED]	[REDACTED] / [REDACTED]		558.750



# AMERICAN FUND

From Capital Group

Year-End Statement  
December 31, 2018

Page 4 of 18

Primary account number [REDACTED]

## Activity detail for account [REDACTED]

Account value: [REDACTED]

Account registration:  
SUZANNA E MORA

**AMCAP Fund-C** Fund number: 302 Symbol: AMPCX Objective: Growth

1.82% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$419.67

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$502.66	\$27.87 / \$27.87		18.036
02/15/18	Automatic Investment	\$500.00	\$29.28 / \$29.28	17.077	35.113
03/15/18	Automatic Investment	\$500.00	\$29.92 / \$29.92	16.711	51.824
04/16/18	Automatic Investment	\$500.00	\$29.11 / \$29.11	17.176	69.000
05/15/18	Automatic Investment	\$500.00	\$29.63 / \$29.63	16.875	85.875
06/15/18	Automatic Investment	\$500.00	\$29.85 / \$29.85	16.750	102.625
06/15/18	Capital Gain 0.853	\$73.25	\$29.85 / \$29.85	2.454	105.079
07/16/18	Automatic Investment	\$500.00	\$29.87 / \$29.87	16.739	121.818
08/15/18	Automatic Investment	\$500.00	\$29.49 / \$29.49	16.955	138.773
09/17/18	Automatic Investment	\$500.00	\$30.24 / \$30.24	16.534	155.307
10/15/18	Automatic Investment	\$500.00	\$28.90 / \$28.90	17.301	172.608
12/20/18	Capital Gain 2.007	\$346.42	\$23.81 / \$23.81	14.549	187.157
12/31/18	Ending balance	[REDACTED]	[REDACTED]		187.157

**American Balanced Fund-C** Fund number: 311 Symbol: BALCX Objective: Balanced

11.04% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$329.25 Short-term capital gains \$0.00 Long-term capital gains \$1,083.13

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$28,600.85	\$26.98 / \$26.98		1,060.076
03/14/18	Income Dividend 0.0466	\$49.40	\$27.04 / \$27.04	1.827	1,061.903
06/13/18	Capital Gain 0.047	\$49.91	\$27.18 / \$27.18	1.836	1,063.739
06/13/18	Income Dividend 0.0471	\$50.02	\$27.18 / \$27.18	1.840	1,065.579
09/12/18	Income Dividend 0.0465	\$49.55	\$27.58 / \$27.58	1.797	1,067.376
12/18/18	Income Dividend 0.0469	\$50.06	\$24.86 / \$24.86	2.014	1,069.390
12/18/18	Special Dividend 0.122	\$130.22	\$24.86 / \$24.86	5.238	1,074.628
12/18/18	Capital Gain 0.968	\$1,033.22	\$24.86 / \$24.86	41.562	1,116.190
12/31/18	Ending balance	[REDACTED]	[REDACTED]		1,116.190

**American High-Income Trust-C** Fund number: 321 Symbol: AHTCX Objective: Bond

2.03% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis: [REDACTED]

Cost per share: [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$270.54 Short-term capital gains \$0.00 Long-term capital gains \$0.00

### Year-to-date transaction history

Trade date	Description	Dollar amount	Share price / NAV	Shares transacted	Share balance
01/01/18	Beginning balance	\$5,221.67	\$10.37 / \$10.37		503.536
01/31/18	Income Dividend	\$21.05	\$10.42 / \$10.42	2.020	505.556
02/28/18	Income Dividend	\$19.00	\$10.29 / \$10.29	1.846	507.402



Activity All Dates  
IRA FBO SUZANNA MORA (IRA)  
Account Type: Retirement  
Base CCY: USD  
History Details

Name of IP: MEYERS, C /MEIGHAN, C /MEYERS,  
Account Summary (5/2/19)

Reg/Plan Type: IRA

Long Market Value  
Today Fed Call  
0.00  
Short Market Value  
0.00  
Net Balance  
0.00

Account Worth  
Total Available Funds  
0.00  
Total Account Worth

Transaction Type: All Transactions | Duration: Prior Year  
History as of 2019-05-02

1	DATE	ACTIVITY	SYMBOL	SEC. DESCRIPTION	ACTIVITY DESCRIPTION	STMT DATE	NET AMT. (TRANS. CCY)
1	12/31/18	CASH DIVIDEND RECEIVED	PLD	PROLOGIS INC COM	34 SHRS PROLOGIS INC COM RD 12/18	12/31/18	16.32
2	12/17/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	12/17/18	0.35
3	12/17/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	12/17/18	-0.35
4	12/11/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	12/11/18	-17.60
5	12/10/18	CASH DIVIDEND RECEIVED	SMG	THE SCOTTS MIRACLE GRO CO HLDG CO	32 SHRS THE SCOTTS MIRACLE GRO CO HLDG CO RD 11/26 PD 12/10/18	12/10/18	17.60
6	12/4/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	12/4/18	-16.15
7	12/3/18	CASH DIVIDEND RECEIVED	SJM	SMUCKER J M CO COM NEW	19 SHRS SMUCKER J M CO COM NEW RD 11/16 PD 12/03/18	12/3/18	16.15
8	11/16/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	11/16/18	-22.95
9	11/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	11/16/18	0.28
10	11/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	11/16/18	-0.28
11	11/15/18	CASH DIVIDEND RECEIVED	PG	PROCTER & GAMBLE CO COM	32 SHRS PROCTER & GAMBLE CO COM RD 10/19 PD 11/15/18	11/15/18	22.95
12	11/2/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	11/2/18	-18.63

This report is a service from your Investment Professional, not a substitute for your account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. This report uses information from sources that Pershing believes to be reliable, but Pershing cannot guarantee the accuracy of this information or the reliability of these sources. If you find discrepancies in this report, please contact your Investment Professional.  
Prepared By (P47GCMEL) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

DATE	ACTIVITY	SYMBOL	SEC. DESCRIPTION	ACTIVITY DESCRIPTION	STMT DATE	NET AMT. (TRANS. CCY)
13 11/1/18	CASH DIVIDEND RECEIVED	DE	DEERE & CO	FDIC RFI 27 SHRS DEERE & CO RD 09/28 PD 11/01/18	11/1/18	18.63
14 10/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI FDIC RFI	10/16/18	0.23
15 10/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI FDIC RFI	10/16/18	-0.23
16 10/1/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	10/1/18	-16.32
17 9/28/18	CASH DIVIDEND RECEIVED	PLD	PROLOGIS INC COM	34 SHRS PROLOGIS INC COM RD 09/17 PD 09/28/18	9/28/18	16.32
18 9/17/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI FDIC RFI	9/17/18	0.20
19 9/17/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI FDIC RFI	9/17/18	-0.20
20 9/11/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	9/11/18	-17.60
21 9/10/18	CASH DIVIDEND RECEIVED	SMG	THE SCOTTS MIRACLE GRO CO HLDG CO	32 SHRS THE SCOTTS MIRACLE GRO CO HLDG CO RD 08/27 PD 09/10/18	9/10/18	17.60
22 9/5/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	9/5/18	-16.15
23 9/4/18	CASH DIVIDEND RECEIVED	SJM	SMUCKER J M CO COM NEW	19 SHRS SMUCKER J M CO COM NEW RD 08/17 PD 09/04/18	9/4/18	16.15
24 8/16/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	8/16/18	-22.95
25 8/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI FDIC RFI	8/16/18	0.15
26 8/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI FDIC RFI	8/16/18	-0.15

This report is a service from your Investment Professional, not a substitute for your account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. This report uses information from sources that Pershing believes to be reliable, but Pershing cannot guarantee the accuracy of this information or the reliability of these sources. If you find discrepancies in this report, please contact your Investment Professional.  
Prepared By (P47GCMEL) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

DATE	ACTIVITY	SYMBOL	SEC. DESCRIPTION	ACTIVITY DESCRIPTION	STMT DATE	NET AMT. (TRANS. CCY)
27 8/15/18	CASH DIVIDEND RECEIVED	PG	PROCTER & GAMBLE CO COM	RFI FDIC RFI 32 SHRS PROCTER & GAMBLE CO COM RD 07/20 PD 08/15/18	8/15/18	22.95
28 8/2/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	8/2/18	-18.63
29 8/1/18	CASH DIVIDEND RECEIVED	DE	DEERE & CO	FDIC RFI 27 SHRS DEERE & CO RD 06/29 PD 08/01/18	8/1/18	18.63
30 7/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	7/16/18	0.11
31 7/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	7/16/18	-0.11
32 7/2/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	7/2/18	-16.32
33 6/29/18	CASH DIVIDEND RECEIVED	PLD	PROLOGIS INC COM	FDIC RFI 34 SHRS PROLOGIS INC COM RD 06/14 PD 06/29/18	6/29/18	16.32
34 6/18/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	6/18/18	0.09
35 6/18/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	6/18/18	-0.09
36 6/11/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	6/11/18	-16.96
37 6/8/18	CASH DIVIDEND RECEIVED	SMG	THE SCOTT'S MIRACLE GRO CO HLDG CO	FDIC RFI 32 SHRS THE SCOTT'S MIRACLE GRO CO HLDG CO RD 05/25 PD 06/08/18	6/8/18	16.96
38 6/4/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	6/4/18	-14.82
39 6/1/18	CASH DIVIDEND RECEIVED	SJM	SMUCKER J M CO COM NEW	FDIC RFI 19 SHRS SMUCKER J M CO COM NEW RD 05/11 PD 06/01/18	6/1/18	14.82
40 5/16/18	FDIC INSURED BANK DEPOSIT		U.S. DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	5/16/18	-22.95
41 5/16/18	FDIC INSURED BANK		LIQUID INSURED DEPOSITS	LIQUID INS FDIC RFI	5/16/18	0.05

This report is a service from your Investment Professional, not a substitute for your account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. This report uses information from sources that Pershing believes to be reliable, but Pershing cannot guarantee the accuracy of this information or the reliability of these sources. If you find discrepancies in this report, please contact your Investment Professional.  
Prepared By (P47GCM6E) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

DATE	ACTIVITY	SYMBOL	SEC. DESCRIPTION	ACTIVITY DESCRIPTION	STMT DATE	NET AMT. (TRANS. CCY)
	DEPOSITS INTEREST RECEIVED			DEPOSITS INTEREST CREDITED MMF RFI		
42 5/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	5/16/18	-0.05
43 5/15/18	CASH DIVIDEND RECEIVED	PG	PROCTER & GAMBLE CO COM	32 SHRS PROCTER & GAMBLE CO COM RD 04/20 PD 05/15/18	5/15/18	22.95
44 5/2/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	5/2/18	-16.20
45 5/1/18	CASH DIVIDEND RECEIVED	DE	DEERE & CO	FDIC RFI 27 SHRS DEERE & CO RD 03/29 PD 05/01/18	5/1/18	16.20
46 4/23/18	FDIC INSURED BANK WITHDRAWAL		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS	4/23/18	35.00
47 4/23/18	MAINTENANCE FEE		U.S.DOLLARS CURRENCY	REFERENCE NO=06320, CREATED BY AMA/BATCHMMF RFI	4/23/18	-35.00
48 4/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	Annual Maintenance Retirement Fee	4/16/18	0.06
49 4/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	4/16/18	-0.06
50 4/2/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	4/2/18	-16.32
51 3/29/18	CASH DIVIDEND RECEIVED	PLD	PROLOGIS INC COM	FDIC RFI 34 SHRS PROLOGIS INC COM RD 03/15 PD 03/29/18	3/29/18	16.32
52 3/16/18	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI	3/16/18	0.02
53 3/16/18	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED		LIQUID INSURED DEPOSITS	LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI	3/16/18	-0.02
54 3/12/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI	3/12/18	-16.96

This report is a service from your Investment Professional, not a substitute for your account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. This report uses information from sources that Pershing believes to be reliable, but Pershing cannot guarantee the accuracy of this information or the reliability of these sources. If you find discrepancies in this report, please contact your Investment Professional.  
Prepared By (P47GCMIE) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

DATE	ACTIVITY	SYMBOL	SEC. DESCRIPTION	ACTIVITY DESCRIPTION	STMT DATE	NET AMT. (TRANS. CCY)
55 3/9/18	CASH DIVIDEND RECEIVED	SMG	THE SCOTT'S MIRACLE GRO CO HLDG CO	32 SHRS THE SCOTT'S MIRACLE GRO CO HLDG CO RD 02/23 PD 03/09/18	3/9/18	16.96
56 3/2/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	3/2/18	-14.82
57 3/1/18	CASH DIVIDEND RECEIVED	SJM	SMUCKER J M CO COM NEW	19 SHRS SMUCKER J M CO COM NEW RD 02/09 PD 03/01/18	3/1/18	14.82
58 2/16/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	2/16/18	-22.07
59 2/15/18	CASH DIVIDEND RECEIVED	PG	PROCTER & GAMBLE CO COM	32 SHRS PROCTER & GAMBLE CO COM RD 01/19 PD 02/15/18	2/15/18	22.07
60 2/2/18	FDIC INSURED BANK DEPOSIT		U.S.DOLLARS CURRENCY	LIQUID INS DEPOSITS MMF RFI FDIC RFI	2/2/18	-11.94
61 2/1/18	CASH DIVIDEND RECEIVED	DE	DEERE & CO	27 SHRS DEERE & CO RD 12/29 PD 02/01/18	2/1/18	16.20

Transaction

Description:

LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI FDIC RFI

Activity:

FDIC INSURED BANK DEPOSITS INTEREST RECEIVED

CUSIP: [REDACTED]

Market: BKPG

Market Code: US

Asset Class: COMMON STOCK

Security Payment: N/A

Executing IP: N/A

Record IP: A19

Quantity: 0.0000

Net Amount: 0.35CR

Account Type: CASH

Process Date: 12/17/18

Settlement Date: 12/17/18

Trade Confirm [REDACTED]

Key Code: 170

Batch#: \*ACAM

Prevailing Market Price: N/P

PMP Mark-Up/Down Amount (USD): N/A

PMP Mark-Up/Down %: N/A

This report is a service from your investment Professional not a substitute for your account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. This report uses information from sources that Pershing believes to be reliable, but Pershing cannot guarantee the accuracy of this information or the reliability of these sources. If you find discrepancies in this report, please contact your investment Professional.  
Prepared By (P47GCMEL) at 5/3/19, 11:08:52 AM (EST)